



Number: CTSO-C196b

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Approved by: Xu Chaoqun

China Civil Aviation Technical Standard Order

This China Civil Aviation Technical Standard Order (CTSO) is issued according to Part 37 of the China Civil Aviation Regulations (CCAR-37). Each CTSO is a criterion which the concerned aeronautical materials, parts or appliances used on civil aircraft must comply with when it is presented for airworthiness certification.

Airborne Supplemental Navigation Sensors for Global Positioning System Equipment using Aircraft-Based Augmentation

1. Purpose.

This China Civil Aviation Technical Standard Order (CTSO) is for manufacturers applying for Airborne Supplemental Navigation Sensors for Global Positioning System Equipment using Aircraft-Based Augmentation CTSO authorization (CTSOA). This CTSO prescribes the minimum performance standards (MPS) that Airborne Supplemental Navigation Sensors for Global Positioning System Equipment using Aircraft-Based Augmentation must first meet for approval and identification with the applicable CTSO marking. This CTSO does not include the satellite-based augmentation system (SBAS) technical requirements and SBAS operational advantages.

Note: This revision allows applicants to use a CTSO-C206 GPS circuit card assembly (CCA) functional sensor as part of their CTSO

application.

2. Applicability.

This CTSO affects new application submitted after its effective date. Major design changes to article approved under this CTSO will require a new authorization in accordance with section 21.353 of CCAR-21-R4.

3. Requirements

New models of CTSO-C196b airborne GPS sensors using aircraft-based augmentation identified and manufactured on or after the effective date of this CTSO must meet the MPS qualification and documentation requirements in RTCA/DO-316, “*Minimum Operational Performance Standards for Global Positioning System/Aircraft Based Augmentation System Airborne Equipment*,” dated April 14, 2009, Section 2.1.

CTSO-C196b applicants have the option to use a CTSO-C206 GPS CCA functional sensor. Applicants choosing to use a CTSO-C206 GPS can take certification compliance credit by virtue of the CTSO-C206 CTSOA for:

- Meeting the MPS section 2.1 requirements;
- The hardware/software qualification;
- The failure condition classification;
- MPS section 2.3 performance testing (functional qualification)

except for those tests specified in Appendix 1 of this CTSO.

The CTSO-C196b applicant using a CTSO-C206 GPS CCA functional sensor shall perform the testing described in Appendix 1 and satisfy the remaining paragraphs in this CTSO not covered by the bullets above to receive a CTSO-C196b CTSOA. The end-use manufacturer that uses a CTSO-C206 GPS CCA functional sensor as part of their CTSO-C196b application assumes full responsibility for the design and function under their CTSO-C196b CTSOA.

a. Functionality.

(1) This CTSO's standards apply to equipment intended to provide position information for a navigation management unit application that outputs deviation commands keyed to a desired flight path, or a non-navigation application such as automatic dependent surveillance-broadcast (ADS-B) or terrain awareness and warning system (TAWS). In navigation applications, pilots or autopilots will use the deviations output by the navigation management unit to guide the aircraft. In non-navigation applications, the position outputs will provide the necessary input for the end-use equipment. These CTSO standards do not address integration issues with other avionics.

(2) CTSO-C196b equipment has limitations that require the aircraft to have other navigation equipment available appropriate to the operation except for oceanic and remote areas. These limitations must be

documented in the installation/instruction manual (see paragraph 5.a).

b. Failure Condition Classifications.

(1) Failure of the function defined in paragraph 3.a causing misleading information is a major failure condition for oceanic/remote, en-route and terminal navigation, and lateral navigation (LNAV) approaches.

(2) Loss of the function defined in paragraph 3.a is a minor failure condition for oceanic/remote, en-route and terminal navigation, and LNAV approaches.

(3) Design the system to at least these failure condition classifications.

c. Functional Qualification.

(1) Demonstrate the required functional performance under the test conditions specified in RTCA/DO-316, Section 2.3.

(2) When using a CTSO-C206 GPS CCA functional sensor, demonstrate the required performance under the test conditions in appendix 1 of this CTSO.

d. Environmental Qualification.

Test the equipment under RTCA/DO-316, Section 2.2 and RTCA/DO-160F, *Environmental Conditions and Test Procedures for Airborne Equipment*, dated December 6, 2007, Sections 4.0 through 8.0

and 10.0 through 25.0. However, the applicant may use a different standard environmental condition and test procedure than RTCA/DO-160F provided the standard is appropriate for the GNSS equipment.

Note 1: The use of RTCA/DO-160D (with Changes 1 and 2 only, incorporated) or earlier versions is generally not considered appropriate and will require substantiation via the deviation process as discussed in paragraph 3.g of this CTSO.

Note 2: Applicants using a CTSO-C206 GPS CCA must perform the environmental qualification with the GPS CCA in the end-use equipment.

e. Software Qualification.

If the article includes software:

(1) Develop the software according to RTCA/DO-178B, Software Considerations in Airborne Systems and Equipment Certification, dated December 1, 1992 to at least the software design assurance level (DAL) consistent with the failure condition classification defined in paragraph 3.b of this CTSO.

Note: The certification liaison process objectives will be considered satisfied after CAAC review of the applicable life cycle data.

(2) Applicants using a CTSO-C206 GPS CCA functional sensor may use CTSO-C206 as substantiation for the software qualification.

f. Electronic Hardware Qualification.

If the article includes complex custom airborne electronic hardware:

(1) Develop the component according to RTCA/DO-254, *Design Assurance Guidance for Airborne Electronic Hardware* to the design assurance level consistent with the failure condition classifications defined in paragraph 3.b of this CTSO. For custom airborne electronic hardware determined to be simple, RTCA/DO-254, paragraph 1.6 applies.

Note: The certification liaison process objectives will be considered satisfied after CAAC review of the applicable life cycle data.

(2) Applicants using a CTSO-C206 GPS CCA functional sensor may use CTSO-C206 as substantiation for the hardware qualification.

g. Deviations.

CAAC has provisions for using alternate or equivalent means of compliance to the criteria in the MPS of this CTSO. If the applicant invoke these provisions, the applicant must show that your equipment maintains an equivalent level of safety. Apply for a deviation under the provision of section 21.368 (I) CCAR-21-R4.

h. Barometric-aided Fault Detection and Exclusion (FDE).

If the equipment uses barometric-aiding to enhance FDE availability, then the equipment must meet the requirements in RTCA/DO-316, appendix G.

4. Marking.

a. Mark at least one major component permanently and legibly with all the information in section 21.423 (II) CCAR-21-R4. The marking must include the serial number.

b. Also, mark the following permanently and legibly, with at least the manufacturer's name, subassembly part number, and the CTSO number:

(1) Each component that is easily removable (without hand tools);

(2) Each subassembly of the article that you determined may be interchangeable.

c. If the article includes software and/or airborne electronic hardware, then the article part numbering scheme must identify the software and airborne electronic hardware configuration. The part numbering scheme can use separate, unique part numbers for software, hardware, and airborne electronic hardware.

d. The applicant may use electronic part marking to identify software or airborne electronic hardware components by embedding the identification within the hardware component itself (using software) rather than marking it on the equipment nameplate. If electronic marking is used, it must be readily accessible without the use of special tools or equipment.

5. Application Data Requirements.

The applicant must give the certification officer who responsible for your facility a statement of conformance, as specified in section 21.353 (I) 1 of CCAR-21-R4 and one copy each of the following technical data to support your design and production approval.

a. A Manual(s) containing the following:

(1) Operating instructions and equipment limitations sufficient to describe the equipment's operational capability.

(2) Describe in detail any deviations.

(3) Installation procedures and limitations sufficient to ensure that the airborne supplemental navigation sensor for GPS equipment using aircraft-based augmentation, when installed according to the installation or operational procedures, still meets this CTSO's requirements. Limitations must identify any required equipment, inputs, and unique aspects of the installation.

(a) The limitations must also include a note with the following statement:

“This article meets the minimum performance and quality control standards. Installation of this article requires separate approval.”

(b) The following specific limitation must be documented in the installation procedures for inclusion as part of the installation approval:

“Aircraft installations with the GPS capabilities of the *<insert equipment model>* navigation equipment for use under Instrument Flight Rules must be equipped with an approved and operational alternate means of navigation appropriate to the intended operation with the exception of oceanic and remote areas.”

(4) For each unique configuration of software and airborne electronic hardware, reference the following:

(a) Software part number including revision and design assurance level;

(b) Airborne electronic hardware part number including revision and design assurance level;

(c) Functional description.

(5) A summary of the test conditions used for environmental qualifications for each component of the article. For example, a form as described in RTCA/DO-160G, *Environmental Conditions and Test Procedures for Airborne Equipment*, Appendix A.

(6) Schematic drawings, wiring diagrams, and any other documentation necessary for installation of the GPS equipment.

(7) List of major components, such as an antenna, by part number, that make up the airborne GPS sensor complying with the standards prescribed under this CTSO. Include vendor part number cross-references, when applicable.

(a) If the equipment can satisfy the requirements of RTCA/DO-316 only when used with a particular antenna, make the use of that antenna (by part number) a requirement on the installation. Include this requirement in the IM as a limitation.

(b) If the equipment is installed with a standard antenna, include maximum tolerable currents and voltages into the antenna port. See CTSO-C144a, *Passive Airborne Global Navigation Satellite System (GNSS) Antenna*, or CTSO-C190, *Active Airborne Global Navigation Satellite System (GNSS) Antenna*.

(8) List of replaceable components, by part number, that makes up the GPS sensor equipment. Include vendor part number cross-references, when applicable.

b. Instructions covering periodic maintenance, calibration, and repair, for the continued airworthiness of the GPS equipment. Include recommended inspection intervals and service life, as appropriate.

c. If not using a CTSO-C206 GPS functional sensor and the article includes software: a plan for software aspects of certification (PSAC), software configuration index, and software accomplishment summary.

d. If not using a CTSO-C206 GPS functional sensor and the article includes simple or complex custom airborne electronic hardware: a plan for hardware aspects of certification (PHAC), hardware verification plan, top-level drawing, and hardware accomplishment summary (or similar

document, as applicable).

e. Nameplate drawing with the information required by paragraph **4** of this CTSO.

f. Adequate specifics on the interface between the GPS sensor and other systems to ensure proper functioning of the integrated system. If the equipment depends on any inputs (like baro- aided FDE) to satisfy the requirements of RTCA/DO-316, make those inputs a requirement in the installation. Include this requirement in the IM as a limitation.

g. If the equipment has not been demonstrated as compatible with satellite communications system, state in the limitations that the equipment should not be installed in the satellite communications system equipped aircraft.

h. Identify functionality or performance contained in the article not evaluated under paragraph **3** of this CTSO (that is, non-CTSO functions). Non-CTSO functions are accepted in parallel with the CTSO authorization. For those non-CTSO functions to be accepted, you must declare these functions and include the following information with your CTSO application:

(1) Description of the non-CTSO function(s), such as performance specifications and failure condition classification and software, hardware, and environmental qualification levels. Include a statement confirming that the non-CTSO function(s) don't interfere with the article's

compliance with the requirements of paragraph 3.

(2) Installation procedures and limitations sufficient to ensure that the non-CTSO function(s) meets the declared functions and performance specification(s) described in paragraph **5.h.(1)**.

(3) Instructions for continued performance applicable to the non-CTSO function(s) described in paragraph **5.h.(1)**.

(4) Interface requirements and applicable installation test procedures to ensure compliance with the performance data defined in paragraph **5.h.(1)**.

(5) Test plans, analysis and results, as appropriate, to verify that performance of the hosting CTSO article is not affected by the non-CTSO function(s).

(6) Test plans, analysis and results, as appropriate, to verify the function and performance of the non-CTSO function(s) as described in paragraph **5.h.(1)**.

i. The quality system description required by section 21.358 CCAR-21-R4, including functional test specifications. The quality system should ensure that you will detect any change to the approved design that could adversely affect compliance with the CTSO MPS, and reject the article accordingly.

j. Material and process specifications list.

k. List of all drawings and processes (including revision level) that

define the article's design.

l. Manufacturer's CTSO qualification report showing results of testing accomplished according to paragraph **3.c** of this CTSO.

6. Manufacturer Data Requirements.

Besides the data given directly to the CAAC have the following technical data available for review by the CAAC:

a. Functional qualification specifications for qualifying each production article to ensure compliance with this CTSO.

b. Equipment calibration procedures.

c. Schematic drawings.

d. Wiring diagrams.

e. Material and process specifications.

f. The results of the environmental qualification tests conducted according to paragraph **3.d** of this CTSO.

g. If not using CTSO-C206 and the article includes software, the appropriate documentation defined in RTCA/DO-178B including all data supporting the applicable objectives in RTCA/DO-178B *Annex A, Process Objectives and Outputs by Software Level*.

h. If not using CTSO-C206 and the article includes complex custom airborne electronic hardware, the appropriate hardware life cycle data in combination with design assurance level, as defined in RTCA/DO-254,

Appendix A, Table A-1. For simple custom airborne electronic hardware, the following data: test cases or procedures, test results, test coverage analysis, tool assessment and qualification data, and configuration management records, including problem reports.

i. If the article contains non-CTSO function(s), you must also make available items **6.a** through **6.h** as they pertain to the non-CTSO function(s).

7. Furnished Data Requirements.

a. If furnishing one or more articles manufactured under this CTSO to one entity (such as an operator or repair station), provide one copy in paragraphs **5.a**, **5.b**, **5.f** and **5.g** of this CTSO. Add any other data needed for the proper installation, certification, use, or for continued compliance with the CTSO, of the GPS sensor.

b. If the article contains declared non-CTSO function(s), include one copy of the data in paragraphs **5.h.(1)** through **5.h.(4)**.

8. Availability of Referenced Documents.

a. Order RTCA documents from:

Radio Technical Commission for Aeronautics, Inc.

1150 18th Street NW, Suite 910, Washington D.C. 20036

You may also order them online from the RTCA Internet website at:

www.rtca.org.

APPENDIX 1. END-USE EQUIPMENT MANUFACTURER TESTS FOR GPS CCA FUNCTIONAL PVT SENSORS USED FOR NAVIGATION AND NON-NAVIGATION APPLICATIONS

1. Scope.

This appendix describes the required supplementary equipment level testing, in addition to the environmental testing of RTCA/DO-316, section 2.2, required by the end-use equipment manufacturer to receive a CTSO-C196b authorization when using a CTSO-C206 GPS CCA functional sensor. These test procedures are intended to streamline and simplify the CTSO-C196b authorization process for the end-use equipment manufacturer by allowing credit for the design and selected testing done at the GPS CCA functional sensor level. However, the end-use equipment manufacturer retains full responsibility for the design and control of the article per their CTSO-C196b CTSOA.

2. General Principles.

(a) Testing methods for GPS equipment have been standardized by RTCA/DO-316 and serve as the basis for CTSO-C196b. RTCA/DO-316 was written with the perspective of equipment that can be installed on aircraft. Section 2.2 specifically addresses the issues of the environment in which the equipment operates and provides approved test methods to

validate performance in this environment. Section 2.2 represents RTCA consensus in identifying which RTCA/DO-316 requirements are sensitive to environmental effects. These requirements are listed in table 2-2 referenced in section 2.2.1.

(b) The determination that a MOPS requirement is susceptible to the environment does not depend on whether or not the implementation is a GPS CCA within some host equipment. Only the sensitivity to environment is affected. This is the same concept as an equipment enclosure designed to protect against a benign environment compared to one designed for a severe environment; the identification of susceptible requirements is the same.

(c) Therefore this appendix uses table 2-2 of RTCA/DO-316, section 2.2.1 to identify the MOPS requirements susceptible to environmental conditions for a GPS CCA functional sensor in the end-use equipment. The focus is on the change in environment seen by the GPS CCA functional sensor as a result of its installation in the end-use equipment. For example, other components inside the end-use equipment may radiate RF energy that could interfere with the GPS functions; therefore the ambient testing done at CCA level is not equivalent to tests done in the end-use equipment. This is the basis for defining the section 2.3 performance tests that need to be repeated by the end-use equipment manufacturer.

(d) Table 2-2 referenced in RTCA/DO-316, section 2.2.1 is the prime source to determine the MOPS performance requirements susceptible to environmental conditions. Based on the table, the susceptible requirements can be grouped in two categories: those susceptible to most types of environmental conditions (described in section 3) and those susceptible to just a few (described in section 4).

3. Performance Requirements Susceptible to Most Environmental Conditions.

3.1 RTCA/DO-316 Accuracy and Sensitivity and Dynamic Range.

The RTCA/DO-316 requirement for Accuracy (2.1.3.1) and Sensitivity and Dynamic Range (2.1.1.10) are sensitive to most environmental conditions. Section 3 identifies the testing end-use equipment manufacturers are required to repeat to demonstrate the GPS CCA functional sensor continues to meet the Accuracy and Dynamic Range performance requirements after installation in the end-use equipment. All tests will be run under conditions where the end-use equipment functions are fully enabled to create the worst-case environment.

3.2 RTCA/DO-316, section 2.3.6 Accuracy Test.

(a) The accuracy test described in section 2.3.6 is actually a joint test covering both accuracy and sensitivity and dynamic range. This joint testing also applies under environment as stated in section 2.2.1.1.5 with

environmental adaptation as described in section 2.2.1.1.1.

(b) The demonstration of accuracy is done in accordance with section 2.3.6 only for the test case with a broadband external interference noise. This test must be repeated when the GPS CCA functional sensor is installed in the end-use equipment and it is sufficient to perform it using broadband interference.

(1) The environmental testing is limited to broadband interference as it represents the worst case signal to noise condition which is the most sensitive to environmental effects. This applies equally to the environment for the GPS CCA functional sensor created by the end-use equipment.

(2) Section 2.3.6 contains a measurement accuracy test in 2.3.6.1 with the simulator and interference conditions described in 2.3.6.2 and detailed test procedure in 2.3.6.2.1. The 2.3.6 test must be run under the worst-case environment identified in section 5, “Additional considerations for internal interference sources” below.

(3) Section 2.3.6.3 is a 24-Hour actual satellite accuracy test. The section 2.3.6.3 test exposes the equipment to a variety of signal conditions and data processing conditions over varying satellite geometry that will increase confidence that no unforeseen interactions between components within the end-use equipment and the GPS CCA functional sensor goes undetected.

(c) The test threshold is relaxed from 110% to 125% as specified in table 2-6 of the 2.3.6.2.1 test procedure to shorten test time. However, Section 2.3.6 testing for the GPS CCA functional sensor in the end-use equipment shall be under ambient conditions per section 2.3 with the 110% test pass threshold for maximum test sensitivity.

(d) Only the broadband external interference noise test case using minimum satellite power will be executed in most cases to shorten test time. Section 2.3.6.1 and 2.3.6.2 testing will be repeated for both minimum and maximum satellite power for the worst case environment only.

4. Performance Requirements Partially Susceptible to Environmental Conditions.

(a) Table 2-2 in RTCA/DO-316 indicates the Acquisition Time (2.1.1.7) and Reacquisition Time (2.1.1.9) requirements are sensitive to four environmental conditions: Icing, Lightning Induced Transient Susceptibility, Lightning Direct Effects, and Normal/Abnormal Operating Conditions. The requirements for Loss of Navigation (2.1.1.11.2) and Loss of Integrity (2.1.1.11.1) are sensitive to low and high operating temperature.

Note: RTCA/DO-316 table 2-2 contains a typo that erroneously numbers the loss of navigation and loss of integrity requirements as 2.1.1.13.2 and 2.1.1.13.1.

(b) The Lightning Induced Transient Susceptibility, Lightning Direct Effects, or Icing environmental conditions are not pertinent to the environment created by the end-use equipment relative to the GPS CCA functional sensor. However, the end-use equipment manufacturer remains responsible for meeting the overall environmental qualification at the end-use equipment level.

(c) Loss of navigation and loss of integrity indications are limited to temperature testing and the information in RTCA/DO-316, sections 2.2.1.1.2 and 2.2.1.1.3 is appropriate. The purpose is to ensure that the interface used to indicate the loss of navigation or integrity is functional under environmental conditions after the GPS CCA functional sensor is installed in the end-use equipment. Sections 2.2.1.1.2 and 2.2.1.1.3 indicate that any source that generates the indication can be used since it is the interface and not the detection mechanism that is verified. The temperature testing done at the end-use equipment level is the worst-case scenario. It is not necessary to repeat the GPS CCA level test at room temperature in the end-use equipment since the environmental qualification adequately addresses testing for these requirements..

(d) RTCA/DO-160F section 16 relates to aircraft power supply (refer to CTSO paragraph 3.d for environmental qualification requirements). Sections 16.5.1.2 and 16.6.1.2 are for Normal/Abnormal Operating Conditions. Given the potential susceptibility of the GPS CCA

functional sensor to power supply noise, it is prudent to repeat tests at the end-use equipment level on this basis. Table 2-2 referenced in RTCA/DO-316, section 2.2.1 does not guarantee the execution of specific acquisition testing.

(e) Sections 4.1 and 4.2 identify the testing end-use equipment manufacturers are required to repeat to demonstrate the GPS CCA functional sensor continues to meet the Acquisition Time and Reacquisition Time performance requirements relative to Normal/Abnormal Operating Conditions after installation in the end-use equipment. All tests will be run under conditions where the end-use equipment functions are fully enabled to create the worst-case environment.

4.1 RTCA/DO-316, section 2.3.3 Initial Acquisition Test Procedures.

The information in RTCA/DO-316, section 2.2.1.1.4 on the initial acquisition test in section 2.3.3 applies. The end-use equipment manufacturer shall repeat the initial acquisition testing described in RTCA/DO-316, section 2.3.3.

4.2 RTCA/DO-316, section 2.3.4 Satellite Reacquisition Time Test.

The end-use equipment manufacturer is required to repeat the Satellite Reacquisition Time testing in RTCA/DO-316, section 2.3.4.

5. Additional Considerations for Internal Interference Sources.

(a) Installing a GPS CCA functional sensor into end-use equipment

that also includes other functions requires careful evaluation of potential internal radiated and conducted interference. The end-use equipment manufacturer must evaluate each operating mode to determine if the mode changes the environment for the installed GPS CCA functional sensor. If there is only one environment or there is clearly one worst case environment, then the accuracy and message loss rate testing in section 3 can be run in that operating mode only. For example, if the end-use equipment includes an RF transmitter that radiates at one frequency; one could reasonably argue that setting the transmitter at full power with maximum data throughput will generate a clear worst-case environment in which to run all testing.

(b) In the case of multiple environments, the accuracy and message loss rate tests can either be run under each environment or the methodology in RTCA/DO-316, section 2.2.1.2.3 can be used to run an aggregate with approximately equal time in each mode. The methodology in section 2.2.1.2.3 must be used to identify modes of greatest susceptibility under which the combined accuracy and message loss rate are repeated in addition to the aggregate test. For example, the 2.2.1.2.3 methodology is appropriate for end-use equipment that contains a high power transmitter operating on a large number of frequencies such that it is impractical to run a test at each frequency. This is analogous to the large number of frequencies that need to be tested during

RTCA/DO-160E RF and Induced Signal Susceptibility testing and is the reason why the section 2.2.1.2.3 methodology was developed.

(c) It is sufficient to identify one worst case environment when performing acquisition and 24 hour accuracy testing.

6. Summary.

(a) The end-use equipment manufacturer that incorporates a GPS CCA functional sensor is required to repeat the following RTCA/DO-316, section 2.3 testing under ambient conditions (see paragraph 5) after installing the GPS CCA functional sensor in the end-use equipment:

- The section 2.3.6 Accuracy test adapted per section 2.2.1.1.1 except that the 110% test pass threshold is used.

Note: Refer to section 5 of this appendix, Additional Considerations for Internal Interference Sources, which could affect how the test methodology.

- The section 2.3.3 Initial Acquisition Test.
- The section 2.3.4 Satellite Reacquisition Time Test.

(b) The end-use equipment manufacturer remains responsible for completing a full environmental qualification evaluation (see CTSO paragraph 3.d) at the end-use equipment level. The end-use equipment manufacturer that incorporates a GPS CCA functional sensor is required to repeat Loss of Navigation and Loss of Integrity indication

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environmental testing according to RTCA/DO-316, sections 2.2.1.1.2 and 2.2.1.1.3.