



工作手册

中国民用航空局飞行标准司

编 号:WM-FS-2018-05

下发日期:2018 年 1 月 4 日

外国运营人主运行基地 检查单和工作指南

目 录

1.目的和依据	1
2.适用范围	1
3.背景	1
4.参考资料	2
5.主运行基地检查概述	3
5.1 检查对象的选择	3
5.2 检查的工作范围	3
5.3 检查的组织	3
5.4 检查的准备	5
5.5 检查的实施	6
6.基地检查后续行动	10
附件 A 外国运营人主运行基地检查单	12
附件 B 外国运营人主运行基地检查报告	49

外国运营人主运行基地检查单和工作指南

1. 目的和依据

为进一步规范外国运营人主运行基地检查工作,为监察员提供指导,依据《外国公共航空运输承运人运行合格审定规则》(CCAR-129)和《民用航空行政检查工作规则》(CCAR-13)制定本工作手册。

2. 适用范围

本工作手册适用于中国民航安全监管类监察员对外国公共航空运输承运人(以下简称外国运营人)实施的主运行基地检查工作。

本工作手册所指外国运营人,既包括 CCAR-129 部运行规范持有人,也包括初始申请 CCAR-129 部运行规范的外国运营人以及香港、澳门特别行政区航空运营人。

3. 背景

随着全球航空市场的快速发展,飞入中国的外国运营人大幅增加,运输量持续增长,但各国民航管理当局的安全管理水平和外国运营人的运行水平参差不齐,某些外国运营人存在严重的安全问题和突出隐患。

根据国际民用航空公约和相关附件,以及《运行检查、核证和

持续监督程序手册》(ICAO Doc8335)的要求,如果出现任何重要消极结果/主要缺陷,可在给予批准之前,通过对运营人审计加以补充。对监管中发现任何重大消极结果/主要缺陷的外国运营人,为维持对外国运营人的运行批准,可以与运营人所在国的民航管理当局协商或对外国运营人进行安全审计。如果仍然存在任何重大消极结果/主要缺陷,国家应撤销对外国运营人的批准。

根据 CCAR-129 规章要求,中国民用航空局或者民航地区管理局可以在必要时对运行规范持有人设在其本国境内的基地实施检查,以评估外国运营人(或申请人)是否满足国际民用航空公约及附件、中国民用航空规章标准和安全运行的相关要求。同时依据《外国公共航空运输承运人运行评估管理程序》,中国民航也将主运行基地检查结果计入评估档案。

4. 参考资料

- a)《国际民用航空公约》及附件
- b)《运行检查、核证和持续监督程序手册》(ICAO Doc 8335)
- c)《普遍安全监督审计计划持续监测手册》(ICAO Doc 9735)
- d)《中国民用航空监察员行政执法手册》
- e)《外国公共航空运输承运人审定及监督程序手册》
- f)《CCAR-129 部运行规范标准格式》(AC-129-001)
- g)《外国公共航空运输承运人运行评估管理程序》(AP-129-001)
- h)《IOSA 标准手册及检查单》(ISM) (第九版)

5.主运行基地检查概述

5.1 检查对象的选择

主运行基地检查的对象的选择,应当满足下列条件之一:

a) 该运营人是其所在国第一家申请 CCAR-129 运行规范的外国运营人;

b) 按照《外国公共航空运输承运人运行评估管理程序》评估在-6分(含)以下的外国运营人。

c) 中国民航局认为的其他情况。

5.2 检查的工作范围

外国运营人主运行基地检查包括外国运营人总体情况、安全管理、飞行运行、运行控制、客舱安全、适航维修与机载设备等部分工作检查。

5.3 检查的组织

5.3.1 检查组的委派

由民航局或地区管理局完成检查组的人员组织工作,检查组的人数一般为 3-4 人;检查组人员原则上由安全监管类监察员组成,其能力需要满足完成检查单中相应工作的要求,至少包含 1 名飞行运行专业和 1 名适航维修专业监察员,并指定 1 人为检查组长。

5.3.2 检查组组长的资质要求

检查组组长除满足检查组组员的要求外,还应满足以下要求:

a) 有较强的领导能力,能够协调沟通检查组成员与外国运营人;

- b) 具备监督检查、组织、协调、统筹整个检查工作的能力；
- c) 能够制定和协调检查方案,全程参与审定和检查；
- d) 具备足够的外事知识。

5.3.3 检查组组员的资质要求

a) 持有中国民用航空监察员证件,曾经参与 CCAR-129 部的运行合格审定或对外国运营人的持续监督监察的工作；

b) 掌握 ICAO 公约及其附件、相关的文件、指导性材料和适用的中国民用航空规章；

c) 熟悉《主运行基地检查单》；

d) 能够熟练使用英语进行沟通；

e) 在特殊情况下,组员可以由 1 名不具备 a) 至 d) 款资质的其他人员担任,但不得独立实施检查工作。

5.3.4 检查组组长的职责

a) 在整个基地检查中,组长作为中国民航局方对外国运营人的负责人,负责对外联络工作；

b) 制定检查计划,确保检查工作按照本程序和外事管理规定实施；

c) 管理检查组的工作进展情况,在检查现场活动过程中随时指导和支持检查组成员工作,协调处理检查过程中的意见和分歧；

d) 组织召开检查前准备会议、启动会议、阶段会议、最终会议；

e) 在检查过程中出现困难,或者出现有可能引起外国运营人

所在国政府、其他组织或媒体关注的问题时,及时通报中国民用航空局有关职能部门,按照其要求做出合适的应对措施;

f) 对检查结论负责,确保最终检查报告内容的准确性和质量。

5.3.5 检查组组员的职责

a) 完成组长分配的检查任务,及时向组长汇报检查情况;

b) 收集事实信息,完成检查记录,并填写相关的检查单和表格;

c) 参加检查相关会议;

d) 提醒组长注意任何可能影响检查进程的分歧情况;

e) 对所分配检查项目的质量和检查结论负责;

f) 协助完成最终检查报告。

5.4 检查的准备

a) 检查组组长或其授权的检查组成员在中国民航飞行标准监督管理系统(FSOP) 129 外航审定监察系统中完成检查任务构型、检查计划制定、资源分配管理和数据收集任务分工;

b) 检查组原则上在检查前 20 个工作日,通知外国运营人检查日程,并将检查单提供给外国运营人,要求其对照检查单在检查前提交检查单项目的符合性声明;

c) 检查组应在检查前组织召开准备会议,会议内容至少包括:

(1) 完成所需资料的收集工作,一般包括:运行规范批准情况、文件审查缺陷记录、持续监督检查发现的问题、不安全事件记

录等；

(2) 确定检查内容和范围(如客运/货运)、明确检查重点和日程安排；

(3) 组长分工协调各组员的工作任务和检查项目；

(4) 明确所赴国家的外事注意事项。

d) 检查组成员应携带监察员证、名片、移动监察工具和其它必要的设备。

e) 办理出国手续。

5.5 检查的实施

5.5.1 基本方法

主运行基地检查方法主要包括:审查受控手册,面谈相关人员,核查记录(培训、维修等),评估设施设备等。因为主运行基地检查的检查时间较短,检查组应当制定检查计划,做好组员分工,以提高检查效率。

5.5.2 检查的现场实施

a) 召开检查启动会议

检查前应召开检查组和外国运营人的会议,会议内容包括:

(1) 检查组介绍 CCAR-129 部相关的外国运营人总体信息、本次检查的成员、分工、日程安排、检查范围和必须的检查内容(模拟机、维修设施等)及需要外国运营人配合的相关事项;

(2) 外国运营人介绍针对此次检查的准备情况和在中国境内实施运行的情况;

(3)视情介绍其他中国民航安全监管相关规章和政策等。

b) 现场检查的开展

使用《外国运营人主运行基地检查单》实施检查,检查应注意以下情况:

(1)控制检查时间。检查日程安排往往较为紧张,检查组应当采取一定的策略与方法提高检查效率,例如:提前填写检查单中部分运营人信息;分成多个检查小组实施检查。出示检查证件后,首先向外国运营人有关人员简要说明本次检查的内容,便于其进行相关准备。在条件允许的情况下,可以安排中英文熟练的外国运营人工作人员陪同检查。

(2)完成所有检查项目。检查组应当完成检查单中的所有项目。如检查条件不允许,在检查单的“N/O 未观察”选项中填写相关说明;做出检查不适用的判断时,应在检查单“N/A 不适用”选项中记录不适用的原因。

(3)明确检查重点。检查组应当根据外国运营人的运行特点和发生的问题来重点检查对安全有关键影响的要素。

(4)正确对待标准的差异。检查组在检查时,如果发现外国运营人的标准高于 ICAO 标准,可以认可;如果低于 ICAO 标准,应了解外国运营人所在国是否向 ICAO 报备了国家差异,检查组最终决定是否作为发现问题项。

(5)不应当主动操作。检查组在检查期间,不应当主动操作,一切由被检查人员操作演示。

(6) 对于某些手册,并非要求运营人使用英文编写(可以使用仅本国语言),但检查组提出与检查相关问题时,可以向外国运营人提出手册相关内容的书面翻译要求,以便形成检查记录和证据。

c)《外国运营人主运行基地检查单》填写说明

(1) 监察员应当使用相应的检查单实施主运行基地检查。该检查单用于提示监察员在检查时观察和评估的项目;

(2) 本手册附件 A 为现场检查使用的《外国运营人主运行基地检查单》模板。检查单分成 6 份子检查单,顺序排列,第 1 份子检查单为运营人基本信息,包含的检查项目 6 项;其余 5 份子检查单为安全管理、飞行运行、运行控制、客舱安全、适航维修和机载设备,包含检查项目共 242 项。第 1 份子检查单第一列为检查项目编号;第二列为检查项目的内容;第三列为注释,供监察员记录使用。其余子检查单第一列为检查项目编号;第二列为检查项目的内容;第三列为检查依据的 ICAO 标准,A 代表 ICAO 附件 Annex,P 代表部分 Part,APX 代表附录 Appendix,如 A6P1 3.3.2 代表 ICAO 附件 6 第 1 部分第 3.3.2 段;第四列为检查结果,包括 S 合格、U 不合格、N/O 未观察、N/A 不适用四种情况。监察员检查的时候,既需考虑文文相符,即外国运营人提供的资料符合检查单的要求;又需考虑文实相符,即外国运营人的实际状况符合检查单的要求,在确认文实相符的时候,可以向外国运营人要求去其运行现场收集信息,选择某些重点进行抽查。如果某一个项目文文、文实均相

符,则填写 S,否则即存在检查发现问题,填写 U 并描述发现问题。“N/O 未观察”代表条件不允许,无法完成检查;“N/A 不适用”代表此项检查不适用于该外国运营人。

(3) 每一个检查项目后会有一个备注栏,用以描述发现问题;

(4) 某些项目或检查重点可能没有包含在检查单中,在此情况下,监察员应当依靠个人专业知识和经验,确定适当的检查范围,并在相关子检查单之后的备注栏注明。

d) 召开检查组阶段会议

组长应每天召开检查组阶段会议,通报当日检查情况,并协调意见和分歧,根据情况确定工作安排中需要做出的修改,完成每日检查小结。

e) 召开检查组最终会议

检查完成后,检查组长应召集所有检查组成员召开检查组最终会议,汇总检查发现问题,在检查组成员就检查发现问题意见不一致时,由组长确定最终意见。

f) 召开检查讲评会议

召开检查讲评会议作为现场检查的结束工作,检查组应向外国运营人通报检查完成的情况并讲评发现问题,但检查相关结论不需要在会上给出,应在最终检查报告中体现,外国运营人负责签署《CCAR-129 部运行规范》的人员应当出席。

5.5.3 记录和证据的保存

a) 检查组应使用 FSOP 129 外航审定监察系统的数据收集模

块,对照检查项目完成检查数据的录入工作;

b) 检查中发现的每个问题,问题详细描述需要用中英文同时填写并录入,应当尽可能记录和保存违规情况的证据。

c) 对于已现场整改并关闭的问题,应录入 FSOP 系统并说明;

d) 最终检查报告应归档留存。

5.5.4 注意事项:

a) 安全:注意人身安全并遵守外国运营人的安全管理制度。

b) 着装:检查前会议和讲评时正式着装。

c) 言行:避免进行与检查无关的聊天;检查组成员间,检查组成员与被检查人之间允许有不同观点,必须避免争吵,如检查过程中出现不可避免的争执,由组长向中国民用航空局相关部门报告。

d) 尊重:尊重他国文化,不带政治因素,保持专业距离,避免过度亲近。

e) 保密:个人笔记和检查组编写的报告草案都应予以保密。现场检查期间,检查组成员不得私自复制外国运营人提供给他们任何文件,也不得与相关方之外的任何人分享文件所含的任何信息。

6. 基地检查后续行动

a) 检查组将发现问题通知主管地区管理局,由主管地区管理局和检查组研究确认最终的发现问题项目。

b) 检查组在 FSOP 129 外航审定监察系统填写《发现问题通知书》并通知外国运营人,要求外国运营人在 30 天内完成整改或提

交整改计划。检查组负责跟踪外国运营人的整改行动。

c) 主管地区管理局根据外国运营人此次主运行基地的检查情况及整改措施,根据《外国公共航空运输承运人运行评估管理程序》,对外国运营人实施评分。

d) 最终检查报告的形成。检查完成后,检查组应书面形成最终检查报告,记录检查结果。检查报告的内容应当包括检查总体情况、主要存在问题、处理措施等,并书面报民航局和主管地区管理局备案。检查报告模板参见附件 B。

附件A 外国运营人主运行基地检查单

Main Operation Base Safety Audit Checklist For Foreign Air Operator

Operator _____

Date _____

Inspector _____

- General Information, 6**
- Safety Management, 16**
- Flight Operations, 73**
- Operating Control, 30**
- Cabin Safety, 28**
- Maintenance & Airborne Equipment, 95**

General information

	Question 问题	Comments 注释
O1	Please provide details on the company organization structure and the number of employees. 请提供运营人组织机构，人员数量的介绍。	
O2	Please provide a list of your aircraft fleet including type, number and age. 请提供运营人机队数量，在中国运行的飞机数量，机龄的介绍。	
O3	Please provide list of international flight routes currently flown and the type of operation (scheduled /non-scheduled/ passenger/all cargo). 请提供航线网络和运行种类的介绍。	
O4	What is the process used for the approval or acceptance of your company Operations Manual by your Civil Aviation Authority? 请介绍本国民航局审批运营人运行手册的流程。	
O5	Does the operator know the information of CCAR-129, CCAR-91and CCAR-396...? 运营人是否了解 CCAR 相关规章？例如，CCAR-129, CCAR-91, CCAR-396.....?	
O6	Does the operator ensure that all employees when abroad know that they shall comply with the laws, regulations and procedures of those States （such as china） in which operations are conducted? 运营人是否确保使其雇员明确，在国外运行时须遵守运行所在国(例如中国)的法律、规章和程序？	

2.Remarks 备注

Safety Management

1. 安全管理体系 SMS		Ref.	Results
P1	General 总则 Can the SMS of the operator be made acceptable to the State of the Operator? 运营人安全管理体系，是否被其所在国接受？	A19 4.1.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P2	Safety policy and objectives 安全政策与目标 Can the operator's safety policy a) be signed by the accountable executive of the organization; b) be communicated, with visible endorsement, throughout the organization; c) be periodically reviewed to ensure it remains relevant and appropriate to the service provider? 运营人安全政策是否： a) 由运营人的负责人签发； b) 以明确的方式传达给整个机构； c) 定期审查，确保其对服务提供者保持相关性和适应性？	A19 APX2 1.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P3	Safety policy and objectives 安全政策与目标 Can the operator a) identify the accountable executive who, irrespective of other functions, has ultimate responsibility and accountability, on behalf of the operator, for the implementation and maintenance of the SMS; b) clearly define lines of safety accountability throughout the operator, including a direct accountability for safety on the part of senior management? 运营人是否 a) 指定责任主管领导，其代表运营人对 SMS 负最终责任； b) 明确界定整个机构内对安全的责任分工，包括高层管理人员对安全负有的直接责任？	A19 APX2 1.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P4	Safety policy and objectives 安全政策与目标 Can the operator appoint a safety manager who is responsible for the implementation and maintenance of an effective SMS? 运营人是否任命一名安全经理负责实施并保持有效的 SMS？	A19 APX2 1.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P5	Safety policy and objectives 安全政策与目标 Can the operator develop and maintain an SMS manual as part of its SMS documentation? 运营人是否制订并保存SMS手册，作为其SMS文件的组成部分？	A19 APX2 1.5.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P6	Safety risk management 安全风险管理 Can the operator develop and maintain a process that ensures that hazards associated	A19 APX2	☐ S 合格 ☐ U 不合格

	with its operation? 运营人是否有程序用来查明与运行有关的危险源?	2.1.1	☐ N/O 未观察 ☐ N/A 不适用
P7	Safety risk management 安全风险 Can the hazard identification be based on a combination of reactive, proactive and predictive methods of safety data collection? 危险源的识别是否以收集的安全数据为基础?	A19 APX2 2.1.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P8	Safety risk management 安全风险 Can the operator develop and maintain a process that ensures analysis, assessment and control of the safety risks associated with identified hazards? 运营人是否有程序用来分析、评估和风险管理已识别的危险源?	A19 APX2 2.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P9	Safety assurance 安全保证 Can the operator develop and maintain the means to verify the safety performance of the operator and to validate the effectiveness of safety risk controls? 运营人是否评估安全绩效并验证安全风险控制措施的有效性?	A19 APX2 3.1.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P10	Safety assurance 安全保证 Can the operator's safety performance be verified in reference to the safety performance indicators and safety performance targets of the SMS? 运营人的安全绩效是否参照 SMS 的安全绩效指标与安全绩效目标?	A19 APX2 3.1.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P11	Safety assurance 安全保证 Can the operator develop and maintain a process to identify changes which may affect the level of safety risk associated with its operation and to identify and manage the safety risks that may arise from those changes? 运营人是否制订并保持一项程序,以判断什么因素会影响安全风险,并查明和管理这些因素?	A19 APX2 3.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P12	Safety assurance 安全保证 Can the operator monitor and assess the effectiveness of its SMS processes to enable continuous improvement of the overall performance of the SMS? 运营人是否监测和评估其 SMS 的有效性,以便能够不断提高 SMS 的绩效?	A19 APX2 3.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P13	Safety promotion 安全促进 Can the operator develop and maintain a safety training program that ensures that personnel are trained and competent to perform their SMS duties? 运营人是否有安全培训方案,确保人员得到培训并胜任其SMS的各项职责?	A19 APX2 4.1.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P14	Safety promotion 安全促进 Can the scope of the safety training program be appropriate to each individual's involvement in the SMS? 安全培训方案的范围是否包含参与SMS的所有人?	A19 APX2 4.1.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

2. Others 其他		Ref.	Results
P15	Safety management 安全管理 Can the operator establish and maintain a flight data analysis program as part of its safety management system? 运营人是否有飞行数据分析方案，并作为其 SMS 的一部分？	A6P1 3.3.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
P16	Safety management 安全管理 Can the operator establish a flight safety documents system, for the use and guidance of operational personnel, as part of its safety management system? 作为其 SMS 的一部分，运营人是否制订飞行安全文件系统，以供运行人员使用？	A6P1 3.3.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

3.Remarks 备注

Flight Operations

1. Flight Operations		Ref.	Results
Q1	Compliance with laws, regulations and procedures 遵守的法律、规章和程序 Can the operator ensure that all pilots are familiar with the laws, regulations and procedures, pertinent to the performance of their duties, prescribed for the areas to be traversed, the aerodromes to be used and the air navigation facilities relating thereto? 运营人是否确保其驾驶员熟悉所飞地区、所用机场及空中航行相关的法律、规章和程序？	A6P1 3.1.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q2	Compliance with laws, regulations and procedures 遵守的法律、规章和程序 Can the pilot-in-command notify the appropriate local authority without delay if an emergency situation which endangers the safety of the aeroplane or persons necessitates the taking of action which involves a violation of local regulations or procedures? 如果在危及飞机或人员安全的紧急情况下，必须采取违反当地规章或程序的措施时，机长是否能够立即通知相关当局？	A6P1 3.1.6	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q3	Compliance with laws, regulations and procedures 遵守的法律、规章和程序 Can the operator ensure that pilots-in-command have available on board the aeroplane all the essential information concerning the search and rescue services in the area over which the aeroplane will be flown? 运营人是否确保，机长可以获得飞经地区有关搜寻与救援服务的资料？	A6P1 3.1.7	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q4	Operations manual 运行手册 Can the operations manual be amended or revised as is necessary to ensure that the information contained therein is kept up to date? 《运行手册》是否根据需要进行增补和修订，以确保内容最新有效？	A6P1 4.2.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q5	Operations manual 运行手册 Can all such amendments or revisions be issued to all personnel that are required to use this manual?	A6P1 4.2.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察

	相关人员是否能获得最新有效手册?		☐ N/A 不适用
Q6	Operations manual 运行手册 Can the information be related to the level of RFFS protection that is deemed acceptable by the operator contained in the operations manual? 《运行手册》是否包含有运营人认为可以接受的救援和消防服务保障水平的相关信息?	A6P1 4.1.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q7	Operations manual 运行手册 Can the operations manual contain the flight crew for each type of operation including the designation of the succession of command? 《运行手册》中是否包含有各类型运行的机组指挥控制权顺序的内容?	A6P1 Appendix 2 2.1.11	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q8	Operations manual 运行手册 Can the operations manual contain specific instructions for the computation of the quantities of fuel and oil to be carried, taking into account all circumstances of the operation including the possibility of loss of pressurization and the failure of one or more engines while enroute? 《运行手册》中是否有具体的计算装载的燃油与滑油量的说明? 需考虑运行的所有情况, 包括释压和单发。	A6P1 Appendix 2 2.1.12	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q9	Operations manual 运行手册 Can the operations manual contain instructions for the conduct and control of ground de-icing/anti-icing operations? 《运行手册》中是否包含有地面除/防冰指令?	A6P1 Appendix 2 2.1.15	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q10	Operations manual 运行手册 Can the operations manual contain instructions on the maintenance of altitude awareness and the use of automated or flight crew altitude callout? 《运行手册》中是否包含有保持高度和使用自动或机组高度呼叫的指令?	A6P1 Appendix 2 2.1.20	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q11	Operations manual 运行手册 Can the operations manual contain conditions required to commence or to continue an instrument approach? 《运行手册》是否包含有仪表进近的内容?	A6P1 Appendix 2 2.1.27	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q12	Operations manual 运行手册 Can the operations manual contain instructions for the conduct of precision and non-precision instrument approach procedures? 《运行手册》是否包含有实施精密/非精密进近的指令?	A6P1 Appendix 2 2.1.28	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q13	Operations manual 运行手册 Can the operations manual contain allocation of flight crew duties and procedures for the management of crew workload during night and IMC instrument approach operations? 《运行手册》是否包含有用以夜间飞行及IMC仪表进近的飞行机组职责分配和机组工作量管理的程序?	A6P1 Appendix 2 2.1.29	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

Q14	Operations manual 运行手册 Can the operations manual contain instructions and training requirements for avoidance of controlled flight into terrain and policy for the use of GPWS? 《运行手册》中是否包含有避免受控飞行撞地、使用 GPWS 的内容与训练要求？	A6P1 Appendix 2 2.1.30	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q15	Operations manual 运行手册 Can the operations manual contain security instructions and guidance? 《运行手册》中是否包含有安保指令与指南？	A6P1 Appendix 2 2.1.35	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q16	Operations manual 运行手册 Can the operations manual contain a route guide to ensure that the flight crew will have, for each flight, information relating to communication facilities, navigation aids, aerodromes, instrument approaches, instrument arrivals and instrument departures as applicable for the operation, and such other information as the operator may deem necessary for the proper conduct of flight operations? 《运行手册》中是否包含有飞行机组每次飞行需了解的飞行资料范围，包括通信设施、助航设备、机场、仪表进近、仪表进场和离场资料以及运营人认定的其他资料？	A6P1 Appendix 2 2.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q17	Operations manual 运行手册 Can the operator include in the operations manual a minimum equipment list (MEL), approved by the State of the Operator? 运营人是否在《运行手册》中列入由运营人所在国批准的 MEL？	A6P1 6.1.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q18	Operations manual 运行手册 Can operations manuals include details of the aircraft systems and of the checklists to be used? 运行相关手册是否包含有飞机各系统的详细内容和相关检查单？	A6P1 6.1.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q19	Checklist 检查单 Can the normal/abnormal checklists be used by flight crews prior to, during and after all phases of operations, and in emergency? 在各个运行阶段之前、之中、之后以及在紧急情况中，飞行组是否使用正常/应急检查单？	A6P1 4.2.6	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q20	Checklist 检查单 Can the design and utilization of checklists observe Human Factors principles? 正常/应急检查单的设计与使用是否遵守人为因素原理？	A6P1 4.2.6	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q21	Checklist 检查单 Can a procedure be used to renew normal/abnormal checklists? 是否有程序用来更新正常/应急检查单？	A6P1 4.2.6	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

Q22	Flight preparation 飞行准备 Can a flight not commenced until flight preparation forms have been completed certifying that the pilot-in-command is satisfied that: a) the aeroplane is airworthy and the appropriate certificates (i.e. airworthiness, registration) are on board the aeroplane; b) the instruments and equipment, for the particular type of operation to be undertaken, are installed and are sufficient for the flight; c) a maintenance release has been issued in respect of the aeroplane? 执行航班是否需下述各项飞行准备的工作完成并得到机长认可后: a)飞机处于适航状态, 飞机上携带有相关证书(即适航证、登记证); b)针对所执行的特定类型运行, 已装备足以实施该次飞行的仪表与设备; c)已为该飞机发放了维修放行单?	A6P1 4.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q23	Flight preparation 飞行准备 Can a flight not be commenced until flight preparation forms have been completed certifying that the pilot-in-command is satisfied that: d) the mass of the aeroplane and centre of gravity location are such that the flight can be conducted safely, taking into account the flight conditions expected? e) any load carried is properly distributed and safely secured; f) a check has been completed indicating that the aeroplane performance operating limitations can be complied with for the flight to be undertaken; g) the Standards relating to operational flight planning have been complied with? 执行航班是否需下述各项飞行准备的工作完成并得到机长认可后: d)考虑各种预期的飞行条件后, 该飞机的质量和重心位置符合安全飞行的要求; e)机上所有载荷分布适当并牢固固定; f)已完成核查, 表明将实施的飞行符合飞机性能使用限制; g)符合关于运行飞行计划的规定?	A6P1 4.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q24	Flight preparation 飞行准备 Can the operator ensure that a flight to be operated in known or expected icing conditions not be commenced unless the aeroplane is certificated and equipped to cope with such conditions? 飞机需经审定并且其装备满足运行要求, 才能在已知或预期结冰的情况下开始飞行, 运营人是否做到?	A6P1 4.3.5.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q25	Flight preparation 飞行准备 Can the operator ensure that a flight to be planned or expected to operate in suspected or known ground icing conditions not take off unless the aeroplane has been inspected for icing and, if necessary, has been given appropriate de-icing/anti-icing treatment? 飞机是否不得在地面结冰或预期结冰的情况下起飞, 除非对飞机的结冰情况进行了检查并在必要时采取了适当的除冰/防冰措施(如必要)?	A6P1 4.3.5.6	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q26	In-flight fuel management 飞行中的燃油管理 Can the operator establish policies and procedures, approved by the State of the Operator, to ensure that in-flight fuel checks and fuel management are performed? 运营人是否制定政策和程序, 经其所在国批准, 确保在飞行中实行燃油检查与燃油管理?	A6P1 4.3.7.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

Q27	In-flight fuel management 飞行中的燃油管理 Can the pilot-in-command continually ensure that the amount of usable fuel remaining on board is not less than the fuel required to proceed to an aerodrome where a safe landing can be made with the planned final reserve fuel remaining upon landing? 机长是否随时确保机上剩余的可用燃油量, 不低于飞往机场并在该机场安全着陆时的最后储备燃油?	A6P1 4.3.7.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q28	Aerodrome operating minima 机场最低运行标准 Can the operator ensure Category II and Category III instrument approach operations shall not be authorized unless RVR information is provided? 运营人是否不授权 II/III 类仪表进近, 除非获得 RVR 信息?	A6P1 4.2.8.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q29	Aerodrome operating minima 机场运行最低标准 Can an instrument approach not be continued below 300 m (1 000 ft) above the aerodrome elevation or into the final approach segment unless the reported visibility or controlling RVR is at or above the aerodrome operating minima? 是否不得在机场标高上空300 米(1000 英尺)以下和进入最后进近航段时继续仪表进近, 除非报告的能见度或跑道视程等于或高于机场运行最低标准?	A6P1 4.4.1.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q30	Aerodrome operating minima 机场运行最低标准 In any case, can an aeroplane not continue its approach-to-land at any aerodrome beyond a point at which the limits of the operating minima specified for that aerodrome would be infringed? 是否在任何情况下, 飞机不得违反机场运行最低标准, 超过限制点继续进近着陆?	A6P1 4.4.1.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q31	Duties of pilot-in-command 机长职责 Can the Operator have a policy that requires the pilot-in-command to report any hazardous flight condition to the appropriate ATC facility without delay? 运营人是否有政策要求机长及时通过 ATC 报告飞行中的危险情况?	A6P1 4.4.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q32	Duties of pilot-in-command 机长职责 Can the pilot-in-command be responsible for the safety of all crew members, passengers and cargo on board when the doors are closed? 在舱门关闭以后, 机长是否对飞机上所有机组人员、旅客和货物的安全负责?	A6P1 4.5.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q33	Duties of pilot-in-command 机长职责 Can the pilot-in-command be responsible for the operation and safety of the aeroplane from the moment the aeroplane is ready to move for the purpose of taking off until the moment it finally comes to rest at the end of the flight and the engine(s) used as primary propulsion units are shut down? 在飞机从起飞开始移动至飞行结束后完全停止和作为主要推力的发动机关车为止的时间内, 机长是否对飞机的运行和安全负责?	A6P1 4.5.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q34	Duties of pilot-in-command 机长职责 Can the pilot-in-command be responsible for notifying the nearest appropriate authority by the quickest available means of any accident involving the aeroplane, resulting in serious injury or death of any person or substantial damage to the aeroplane or property? 机长是否以现有的最迅速的方法将飞机事故情况, 包括人员严重受伤或死亡、飞机	A6P1 4.5.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

	或财产的重大损坏，通知最近合适的当局？		
Q35	Duties of pilot-in-command 机长职责 Can the pilot-in-command be responsible for reporting all known or suspected defects in the aeroplane, to the operator, at the termination of the flight? 在飞行结束时，机长是否负责将所有已知的或怀疑的飞机故障向运营人报告？	A6P1 4.5.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q36	Duties of pilot-in-command 机长职责 Can the pilot-in-command be responsible for the airplane journey log book? 机长是否对飞机飞行记录本负责？	A6P1 4.5.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q37	Instruments, equipment and flight document 仪表、设备和飞行文件 Can the operator provide operations staff and flight crew with an aircraft operating manual, for each aircraft type operated? (The design of the manual shall observe Human Factors principles.) 运营人是否向运行人员和飞行组提供所运行的航空器使用手册？手册需包含相关航空器运行的正常、非正常和应急程序。(该手册的设计必须符合人为因素。)	A6P1 6.1.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q38	Instruments, equipment and flight document 仪表、设备和飞行文件 Can operator ensure that flight recorders not be switched off during flight time? 运营人是否确保在飞行中飞行记录器不被关断？	A6P1 6.3.4.2.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q39	Instruments, equipment and flight document 仪表、设备和飞行文件 Where aeroplanes are equipped with automatic landing systems, a HUD or equivalent displays, EVS, SVS or CVS, or any combination of those systems into a hybrid system, can the use of such systems for the safe operation of an aeroplane be approved by the State of the Operator? 如果飞机装备有自动着陆系统、平视显示器或等效显示器、增强目视系统、合成目视系统或组合目视系统，或这些系统组合而成的混合系统，用于飞机的运行是否由其所在国批准？	A6P1 6.23.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q40	Instruments, equipment and flight document 仪表、设备和飞行文件 Can the operational use of EFB functions be approved by the State of the Operator, to be used for the safe operation of aeroplanes? 用于飞机安全运行的电子飞行包的使用是否由运营人所在国批准？	A6P1 6.24.2.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q41	Instruments, equipment and flight document 仪表、设备和飞行文件 For operations where a navigation specification for performance-based navigation has been prescribed, can an aeroplane: a) be provided with navigation equipment which will enable it to operate in accordance with the prescribed navigation specification(s); and b) be authorized by the State of the Operator for such operations? 在基于性能导航（PBN）地区的运行，飞机是否： a) 配备导航设备以便能使其按照规定的导航规范运行；和	A6P1 7.2.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

	b) 由运营人所在国家批准实施此类运行？		
Q42	Instruments, equipment and flight document 仪表、设备和飞行文件 Can the operator institute appropriate flight crew procedures for operations in RVSM airspace? 运营人是否对飞行机组在缩小垂直间隔标准（RVSM）空域运行制定适当的程序？	A6P1 7.2.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q43	Instruments, equipment and flight document 仪表、设备和飞行文件 Can the operator institute appropriate flight crew procedures for ACAS7.1? 运营人是否对飞行机组制定了关于 ACAS7.1 的程序？	A6P1 6.18.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q44	Instruments, equipment and flight document 仪表、设备和飞行文件 Can journey log book contain the following items: I — Aeroplane nationality and registration. II — Date. III — Names of crew members. IV — Duty assignments of crew members. V — Place of departure. VI — Place of arrival. VII — Time of departure. VIII — Time of arrival. IX — Hours of flight. X — Nature of flight (private, aerial work, scheduled or non-scheduled). XI — Incidents, observations, if any. XII — Signature of person in charge? 飞行记录本是否包含有下列项目？ I —飞机的国籍和登记。II — II 日期。 III —机组成员名单。IV —机组成员的职责分配。 V — 离场地点。VI —到达地点。 VII —离场时间。VIII —到达时间。IX —飞行小时。 X —飞行性质（私人、航空作业、定期或不定期）。 XI —事件、观察报告（如有）。XII —负责人签名。	A6P1 11.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q45	Instruments, equipment and flight document 仪表、设备和飞行文件 Can the completed journey log book be retained to provide a continuous record of the last six months of operations? 完成的飞行记录本是否保存至少 6 个月？	A6P1 11.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q46	Composition of the flight crew 飞行组的组成 Can the number and composition of the flight crew not less than that specified in the operations manual? 飞行组的组成和人数是否不少于运行手册中的规定？	A6P1 9.1.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q47	Composition of the flight crew 飞行组的组成 Can the flight crew include flight crew members in addition to the minimum numbers specified in the flight manual or other documents associated with the certificate of airworthiness, when necessitated by considerations related to the type of aeroplane used, the type of operation involved and the duration of flight between points where flight crews are changed? 除飞机飞行手册或其他与适航证有关的文件中规定的最少人数外，是否考虑到所用	A6P1 9.1.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

	飞机的型别、有关运行的类型以及飞行组换班间隔的飞行持续时间等因素需要增加的成员？		
Q48	Composition of the flight crew 飞行组的组成 Can the flight crew include at least one member who holds a valid license, issued or rendered valid by the State of Registry, authorizing operation of the type of radio transmitting equipment to be used? 飞行组中是否至少有一名成员持有所在国颁发或认可的有效执照，批准其操作所用类型的无线电设备？	A6P1 9.1.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q49	Composition of the flight crew 飞行组的组成 When a separate flight engineer's station is incorporated in the design of an aeroplane, can the flight crew include at least one flight engineer especially assigned to that station ?(unless the duties associated with that station can be satisfactorily performed by another flight crew member, holding a flight engineer license, without interference with regular duties.) 当飞机在设计上已备有单独的飞行机械员位置时，其飞行组是否至少包括一名专门指定在此位置上工作的飞行机械员？（除非该位置的有关工作能由飞行组中另一名持有飞行机械员执照的成员，在不影响其自身正常工作的情况下完成）	A6P1 9.1.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q50	Composition of the flight crew 飞行组的组成 Can the flight crew include at least one member who holds a flight navigator license in all operations where, as determined by the State of the Operator, navigation necessary for the safe conduct of the flight cannot be adequately accomplished by the pilots from the pilot station? 在所有的运行中，当驾驶员在其工作位置上不能充分完成运营人所在国指定的、安全飞行所必要的领航工作时，飞行组中是否至少有一名持有领航员执照的机组成员？	A6P1 9.1.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q51	Composition of the flight crew 飞行组的组成 Can the operator maintain records for all its flight crew members of flight time, flight duty periods, duty periods, and rest periods for a period of time specified by the State of the Operator? 运营人是否按照运营人所在国规定的期限保存其所有飞行机组人员的飞行时间、飞行值勤期、值勤期和休息期的记录？	A6P1 4.10.8	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q52	Flight crew member emergency duties 飞行组成员的应急职责 Can the operator ensure that when passengers or cargo are being carried, no emergency or abnormal situations be simulated? 运营人是否确保，在飞机载有乘客或货物时不得模拟紧急或非正常情况？	A6P1 4.2.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q53	Flight crew member emergency duties 飞行组成员的应急职责 Can the operator, assign to all flight crew members the necessary functions they are to perform in an emergency or in a situation requiring emergency evacuation for each type of aeroplane? 运营人是否规定在发生紧急情况或需要紧急撤离飞机时，飞行组成员各自应尽的职责（按机型）？	A6P1 9.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

2. Training and Qualification	Ref.	Results
-------------------------------	------	---------

Q54	Flight crew member emergency training 飞行组成员的应急训练 Can annual training for emergency responses be contained in the operator's training program? 运营人的训练大纲是否包含有应急反应的年度训练?	A6P1 9.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q55	Flight crew member emergency training 飞行组成员的应急训练 Can annual training for emergency response include instruction in the use of all emergency and life-saving equipment required to be carried, and drills in the emergency evacuation of the aeroplane? 应急反应年度训练是否包括对规定要携带的应急和救生设备的使用讲解和应急撤离飞机的演练?	A6P1 9.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q56	Flight crew member training programs 飞行组成员的训练大纲 Can the operator establish and maintain a ground and flight training program, approved by the State of the Operator, which ensures that all flight crew members are adequately trained to perform their assigned duties? 运营人是否有由运营人所在国批准的地面和飞行训练大纲, 以确保所有飞行组成员接受了充分的训练?	A6P1 9.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q57	Flight crew member training programs 飞行组成员的训练大纲 Can the ground and flight training program: a) include ground and flight training facilities and properly qualified instructors as determined by the State of the Operator; b) consist of ground and flight training in the type(s) of aeroplane on which the flight crew member serves; c) include proper flight crew coordination and training in all types of emergency and abnormal situations or procedures caused by engine, airframe or systems malfunctions, fire or other abnormalities? 地面和飞行训练大纲是否 a) 包括运营人所在国规定的地面训练设施和飞行训练设施以及合格的教员; b) 包括飞行组成员所飞机型的地面与飞行训练; c) 包括飞行组的配合训练以及由发动机、机体或系统故障、失火或其他情况引起的各种应急情况下的处置程序训练?	A6P1 9.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q58	Flight crew member training programs 飞行组成员的训练大纲 Can the ground and flight training program: d) include upset prevention and recovery training; e) include training in knowledge and skills related to visual and instrument flight procedures for the intended area of operation, charting, human performance including threat and error management ? 地面和飞行训练大纲是否 d) 包括预防非正常飞行姿态及改出训练; e) 包括目视和仪表飞程序、制图、人为因素有关的知识与技能?	A6P1 9.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q59	Flight crew member training programs 飞行组成员的训练大纲 Can the ground and flight training program: f) ensure that all flight crew members know the functions for which they are responsible and the relation of these functions to the functions of other crew members, particularly in	A6P1 9.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察

	regard to abnormal or emergency procedures; g) be given on a recurrent basis, as determined by the State of the Operator and shall include an assessment of competence ? 地面和飞行训练大纲是否 f) 确保所有飞行组成员知道各自的职责及同其他机组成员间的关系，尤其是不正常和应急情况下的程序； g) 本国要求的（机组）胜任能力的定期评估？		☐ N/A 不适用
Q60	Flight crew member training programs 飞行组成员的训练大纲 Can the ground and flight training program include the transport of dangerous goods? 地面和飞行训练大纲是否包含危险品运输方面的训练？	A6P1 9.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q61	Flight crew member training programs 飞行组成员的训练大纲 Can the courses and written exams of ground and flight training program be approved by the State of the Operator? 地面和飞行训练大纲包含的课程及笔试是否被所在国批准？	A6P1 9.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q62	Flight crew member training programs 飞行组成员的训练大纲 Can the operator's training program include crew coordination and training in all types of emergency or abnormal procedures caused by power plant, airframe, or system malfunctions, or fire? 运营人训练大纲是否包含如何应对由发动机、机身、系统故障或着火导致的应急情况？	A6P1 9.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q63	Flight crew member training programs 飞行组成员的训练大纲 Can the operator ensure that crew coordination training includes the following: 1. Principles of CRM. 2. CRM training conducted on a recurrent basis. 3. Other personnel present during pilot CRM. (. Cabin crew and dispatchers, etc.) ? 运营人是否确保飞行机组协作训练包含以下内容： 1. CRM 的原则 2. 紧跟CRM的发展 3. 其他人员在飞行机组资源管理中的表现（如乘务员和签派）？	A6P1 9.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q64	Flight crew member training programs 飞行组成员的训练大纲 If simulators are used to conduct training and or proficiency checks, can they be approved by the State of the Operator and appropriately used? 如果模拟机被用来执行训练和熟练检查，是否被所在国批准？	A6P1 9.3.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q65	Flight crew member training programs 飞行组成员的训练大纲 Can the operator develop policies and procedures for third parties that perform work on its behalf, such as flight training, simulator? 运营人是否制定相关外委政策和程序，如飞行训练、模拟机？	A6P1 4.2.1.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q66	Qualifications and management of Flight crew member 飞行员资质与管理 Can the flight crew licenses be issued by the State of Registry of that aircraft or by any	A1 1.2.1	☐ S 合格 ☐ U 不合格

	other Contracting State and rendered valid by the State of Registry of that aircraft? 飞行机组执照是否是航空器登记国所签发的执照，或由任何其他缔约国签发并由航空器登记国认可有效的执照？		☐ N/O 未观察 ☐ N/A 不适用
Q67	Qualifications and management of Flight crew member 飞行员资质与管理 Can the operator ensure that flight crew members demonstrate the ability to speak and understand the language used for radiotelephony communications as specified in Annex 1? 运营人是否确保，飞行机组成员具备附件 1（ICAO 4）所规定的无线电通信能力？	A6P1 3.1.8	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q68	Qualifications and management of Flight crew member 飞行员资质与管理 Can the operator ensure that not any flight crew members is on duty while under the influence of any psychoactive substance, by reason of which human performance is impaired?(1.Policy on alcohol use/testing,2 Policy on drug use/testing) 运营人是否确保飞行机组成员不得在因使用影响精神的物品而出现行为能力降低的状况的时候工作？（1 酒精测试的政策，2 药品测试的政策）	A1 1.2.7	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q69	Qualifications and management of Flight crew member 飞行员资质与管理 Can all flight crew members be engaged in international commercial air transport having attained their 65th birthday? 运营人从事国际商业航空运输的飞行机组成员是否均在 65 周岁以下？	A6P1 2.1.10	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q70	Qualifications and management of Flight crew member 飞行员资质与管理 Can the operator not assign a pilot-in-command or a co-pilot to operate at the flight controls of a type or variant of a type of aeroplane during take-off and landing unless that pilot has operated the flight controls during at least three take-offs and landings within the preceding 90 days on the same type of aeroplane or in a flight simulator approved for the purpose? 运营人是否不得指派其飞行机组成员在起飞和着陆时操作飞机，除非该人员在 90 天之内，在同型号或不同改型的飞机上或模拟机上完成三个起落？	A6P1 9.4.1.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q71	Qualifications and management of Flight crew member 飞行员资质与管理 Can the operator not assign a pilot to act in the capacity of cruise relief pilot in a type or variant of a type of aeroplane unless, within the preceding 90 days that pilot has either: a) operated as a pilot-in-command, co-pilot or cruise relief pilot on the same type of aeroplane; or b) carried out flying skill refresher training including normal, abnormal and emergency procedures specific to cruise flight on the same type of aeroplane or in a flight simulator approved for the purpose, and has practiced approach and landing procedures, where the approach and landing procedure practice may be performed as the pilot who is not flying the aeroplane ? 运营人是否确保其飞行机组成员不得操作飞机?除非该人员在前 90 天之内： a) 操作过相同型号的飞机；或 b) 在模拟机上，进行过飞行技术复训，并执行过进近和着陆程序。	A6P1 9.4.2.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
Q72	Qualifications and management of Flight crew member 飞行员资质与管理 Can the operator not continue to utilize a pilot as a pilot-in-command on a route or within an area specified by the operator , unless within the preceding 12 months, that pilot has made at least one trip as a pilot member of the flight crew, or as a check pilot,	A6P1 9.4.3.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察

	or as an observer in the flight crew compartment: a) within that specified area; and b) if appropriate, on any route where procedures associated with that route or with any aerodromes intended to be used for take-off or landing require the application of special skills or knowledge? 运营人是否确保在飞往或飞离特殊机场的运行中担任机长的驾驶员，在前 12 个月作为飞行组成员、飞行检查员或飞行机组驾驶舱观察员在以下条件作过至少一次飞行： a) 在上述规定的区域内 b) 涉及需使用特殊技术或知识的航路或机场？		☐ N/A 不适用
Q73	Qualifications and management of Flight crew member 飞行员资质与管理 Can the operator ensure that piloting technique and the ability to execute emergency procedures is checked in such a way as to demonstrate the pilot's competence on each type or variant of a type of aeroplane? (Such checks shall be performed twice within any period of one year. Any two such checks which are similar and which occur within a period of four consecutive months shall not alone satisfy this requirement.) 运营人是否对飞行机组成员的驾驶技术和执行应急程序的能力进行检查，以确定飞行机组成员在每个型号的飞机上都胜任其工作？(此种检查在一年内进行两次，具体时间不限。如果两次检查内容类似并且相隔不超过 4 个月，则不符合本规定的要求。)	A6P1 9.4.4.1	☐S 合格 ☐U 不合格 ☐N/O 未观察 ☐N/A 不适用

3.Remarks 备注

Operating Control

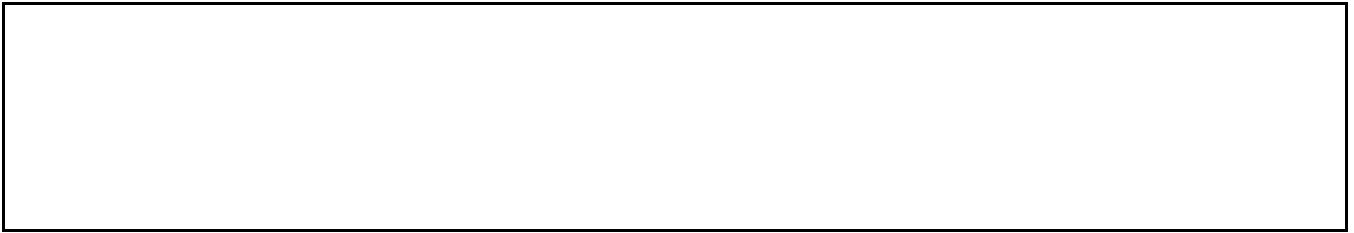
1. Flight dispatch		Ref.	Results
R1	Authorities and Responsibilities 运行控制职责 Can the operator utilize flight operations officers / flight dispatchers for Operational Control, if not, who can be responsible for Operational Control? 运营人是否用飞行运行员/签派员进行运行控制？如果不是，谁负责运行控制？	A6P1 3.1.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R2	Authorities and Responsibilities 运行控制职责 Does the operations manual clearly define the duties and responsibilities of the flight operations officers / flight dispatchers and structure of operationing control department? 运行手册中是否明确飞行运行员/签派员的职责和职能和运行控制的组织机构？	A6P1 3.1.4 4.2.4.1 4.6.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R3	Authorities and Responsibilities 运行控制职责 In an emergency situation which endangers the safety of an aircraft, can the operator immediately respond to the emergency and recover the flight? 运营人是否能及时响应不正常运行及紧急情况，有效组织开展应急处置和航班恢复？	A6P1 3.1.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R4	Authorities and Responsibilities 运行控制职责 Can the operator ensure that all the flight operations officers / flight dispatchers comply with the applicable law, regulations and procedures? 运营人是否确保其所有飞行运行员/签派员遵守法律、法规和程序？	A6P1 3.1.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R5	Authorities and Responsibilities 运行控制职责 Can the operator ensure the accuracy of the operational flight plan? 运营人是否制作准确的飞行计划？	A6P1 4.3.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R6	Authorities and Responsibilities 运行控制职责 Can the flight operations officers/ dispatchers get the instruction for use of the operation flight plan from the operations manual? 飞行运行员/签派员是否能在《运行手册》中获取飞行计划使用说明？	A6P1 4.3.3.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R7	Authorities and Responsibilities 运行控制职责 Can the operator use a safety risk assessment methodology for operating control? 运营人是否有对运行控制的专项安全风险评估？	A6P1 4.3.4.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R8	Authorities and Responsibilities 运行控制职责 Can the Operator have a procedure to ensure that the aircraft is airworthy for the intended flight, including where required an MEL? 运营人是否有程序确保飞机运行前的适航性，如影响运行的 MEL 项目？	A6P1 8.1.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察

			☐ N/A 不适用
R9	Qualification and Management of Dispatcher 签派员资质与管理 Can the flight operations officers/ dispatchers be licensed by the State of the Operator, according to the ANNEX1? 飞行运行员/签派员的执照是否由所在国颁发，并符合附件 1 的要求？	A6P1 10.1 10.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R10	Qualification and Management of Dispatcher 签派员资质与管理 Can the Operator establish training and monitoring system to ensure that the flight operations officers/ dispatchers assigned to duty continuously meets regulatory requirement? 运营人是否建立了有效的飞行运行员/签派员培训和监督系统，确保在值勤的飞行运行员/签派员持续符合资质要求？	A6P1 10.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R11	Qualification and Management of Dispatcher 签派员资质与管理 Can the flight operations officers/ dispatchers be assigned to duty meet the requirements of training, qualification and experience? 实施运行控制的人员是否满足手册中规定的训练、资质和经历要求，具备有效履行职责的能力？	A6P1 10.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R12	Qualification and Management of Dispatcher 签派员资质与管理 Can the operator have a policy to ensure that flight operations officers/ dispatchers do not perform duties while under the influence of alcohol or drugs? 运营人是否有合适的政策确保飞行运行员/签派员不在酒精或药品作用下值勤？	A1 1.2.7	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R13	Flight Preparation and Planning 飞行准备与签派放行 Can the operator establish aerodrome operating minima be approved by the State of the Operator for each aerodrome to be used in operations? Can the method of determination of such minima be approved by the State of the Operator? 运营人是否对其运行中使用的每个机场制定机场最低运行标准并被所在国批准？并且确定这种最低标准的方法是否被所在国批准？	A6P1 4.2.8.1 4.3.5.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R14	Flight Preparation and Planning 飞行准备与签派放行 Can the operator publish the operational instructions involving a change of the flight within a reasonable time? 运营人是否及时有效更改和发布有关飞行安全的补充信息？	A6P1 4.4.7	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R15	Flight Preparation and Planning 飞行准备与签派放行 Can the flight be released according to the regulations? 是否按要求签发飞行放行单？	A6P1 4.3.3.1 4.3.4.1.1 4.3.4.1.2 4.3.4.1.3 4.3.4.3.1 4.3.4.3.2 4.3.5.1 4.3.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

R16	Aircraft Performance and Load Planning 飞机性能与配载平衡 Can the aircraft performance data contained in the Flight Manual be effective? 运营人《飞行手册》中的性能数据是否有效?	A6P1 5.2.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R17	Aircraft Performance and Load Planning 飞机性能与配载平衡 Can the flight operations officers/ dispatchers have access to applicable aircraft performance data? (the mass of the aeroplane, the operating procedures, the pressure-altitude appropriate to the elevation of the aerodrome, the ambient temperature, the wind, the runway slope, and surface conditions of the runway i.e., presence of snow, slush, water, and/or ice for landplanes, should be taken into account.) 飞行运行员/签派员是否获得有效的飞机性能数据?(性能分析时,运营人是否考虑到所有的特定因素,包括:重量,操作程序,压力高度,温度,风,跑道梯度和跑道污染(水,融雪等))	A6P1 5.1.1 5.2.6 5.2.7 5.2.10	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R18	Aircraft Performance and Load Planning 飞机性能与配载平衡 Can the flight operations officers/ dispatchers get the effective aircraft landing performance data? 飞行运行员/签派员是否能获得有效的飞机着陆性能数据?	A6P1 5.2.11	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R19	Aircraft Performance and Load Planning 飞机性能与配载平衡 Can the flight operations officers/ dispatchers obtain effective and valid aircraft performance data when required? 飞行运行员/签派员是否能获取航空器性能数据?	A6P1 5.3.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R20	Flight Monitoring and In-flight Management运行监控 Can the operator quickly and reliably establish communication with each aircraft during the whole flight, and immediately respond to an emergency and offer assistance to the flight crew? 运营人是否确保在整个航路飞行期间,与每一架飞机建立可靠迅速的通信联络?	A6P1 4.6.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R21	Flight Monitoring and In-flight Management运行监控 Can the en-route alternate aerodromes meet the requirements? 航路备降场的选择是否符合要求?	A6P1 4.3.4.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R22	Flight Monitoring and In-flight Management运行监控 Can the flight fuel policy meet the requirement of regulations? 燃油政策是否符合规章?	A6P1 4.3.6.1 4.3.6.2 4.3.6.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R23	Flight Monitoring and In-flight Management运行监控 When the flight fuel policy deviates from the requirement of Annex 6, can the operator conduct safety risk assessment? 偏离附件6要求的燃油政策时,运营人是否有燃油安全风险评估程序?	A6P1 4.3.6.6	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

R24	Flight Monitoring and In-flight Management 运行监控 Can the flight fuel policy meet the requirement of EDTO? 燃油政策是否符合EDTO要求?	A6P1 4.7.2.4 4.7.2.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R25	Flight Monitoring and In-flight Management 运行监控 Can the operator have a flight route performance assessment procedure? 运营人是否有航路性能评估程序?	A6P1 5.2.9 5.2.10	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R26	Outsourcing and Product Quality Control 外委和产品质量控制 Can the operator continuously monitor the employed electronic navigation data process and products? 运营人是否对使用的导航数据进行持续监控?	A6P1 7.4.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R27	Outsourcing and Product Quality Control 外委和产品质量控制 Can the operator implement procedures that ensure the timely distribution and insertion of current and unaltered electronic navigation data to all aircraft that require it? 运营人是否及时更新机载导航数据库?	A6P1 7.4.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R28	Outsourcing and Product Quality Control 外委和产品质量控制 Can the operator develop policies and procedures for third parties that perform dispatch on its behalf? 运营人是否制定签派相关外委程序?	A6P1 4.2.1.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R29	Records System 记录留存 Can the operator maintain the fuel record for each flight? Can the fuel records be kept at least 3 months? 运营人是否留存每个航班燃油记录?燃油记录是否留存不少于三个月?	A6P1 4.2.10.1	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
R30	Records System 记录留存 Can the operator maintain the completed flight preparation forms for at least 3 months? 运营人是否对运行相关文件留存至少 3 个月?	A6P1 4.3.2	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

3.Remarks 备注



Cabin Safety

1. Cabin Safety 客舱安全		Ref.	Results
S1	General概述 Can the operator maintain records for all its cabin crew members of flight time, flight duty periods, duty periods, and rest periods for a period of time specified by the State of the Operator? 运营人是否按照运营人所在国规定的期限保存其所有客舱机组人员的飞行时间、飞行值勤期、值勤期和休息期的记录？	A6P1 4.10.8	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S2	General概述 Can the operator ensure that not any cabin crew members is on duty while under the influence of any psychoactive substance, by reason of which human performance is impaired?(1.Policy on alcohol use/testing,2 Policy on drug use/testing) 运营人是否确保乘务员不在使用影响精神的物品而出现行为能力降低的状况的时候工作？（1酒精测试的政策，2药品测试的政策）	A2 2.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

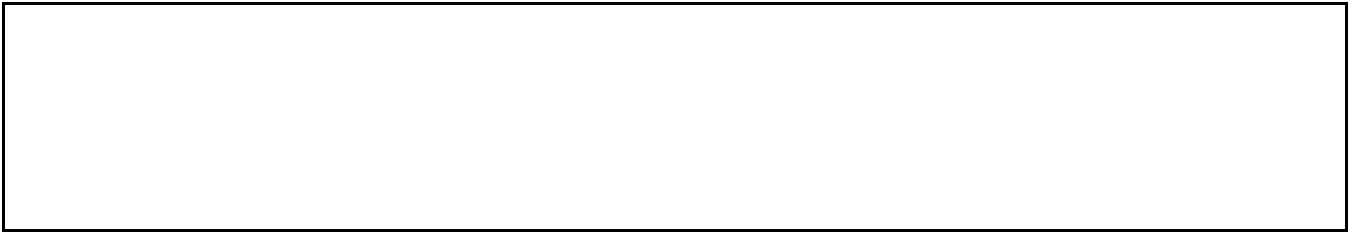
S3	General概述 Can the operator establish and obey the minimum number of cabin crew required for each type of aeroplane? 运营人是否按照飞机座位数量或所载乘客人数规定出各机型所需客舱乘务组的最少人数,并且遵守?	A6P1 12.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S4	General概述 Can an aeroplane be equipped with accessible and adequate medical supplies, including life-saving equipment as require? 飞机是否装备足够并易于取用的医药用品,包括救生设备(按需)?	A6P1 6.2.2 6.6	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S5	General概述 Can an aeroplane be equipped with: means of ensuring that the following information and instructions are conveyed to passengers: 1) when seat belts are to be fastened; 2) when and how oxygen equipment is to be used if the carriage of oxygen is required; 3) restrictions on smoking; 4) location and use of life jackets or equivalent individual flotation devices where their carriage is required; 5) location and method of opening emergency exits? 飞机上是否有能够将下列信息和指令传达给乘客的设备或设施: 1) 何时需要将椅带系好; 2) 何时和怎样使用氧气设备; 3) 禁止吸烟; 4) 救生衣或相应的个人漂浮装置的位置与使用方法; 5) 应急出口的位置和打开方法?	A6P1 6.2.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S6	Cabin crew-Training客舱乘务组-训练 Can the operator establish and maintain a training program, approved by the State of the Operator? 运营人是否制定并保持一个由运营人所在国批准的培训大纲?	A6P1 12.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S7	Cabin crew-Training客舱乘务组-训练 Can all persons before being assigned as a cabin crew member complete the applicable training program? 所有人员在被指派担任客舱机组成员前是否按适用的大纲完成培训?	A6P1 12.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S8	Cabin crew-Training客舱乘务组-训练 Can cabin crew members complete a recurrent training program annually? 客舱机组成员是否按大纲完成年度复训?	A6P1 12.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S9	Cabin crew-Training客舱乘务组-训练 Can these training programs ensure that each person is: a) competent to execute those safety duties and functions which the cabin crew member	A6P1 12.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察

	is assigned to perform in the event of an emergency or in a situation requiring emergency evacuation; b) drilled and capable in the use of emergency and life-saving equipment required to be carried, such as life jackets, life rafts, evacuation slides, emergency exits, portable fire extinguishers, oxygen equipment, first-aid and universal precaution kits, and automated external defibrillators? 培训大纲是否确保每个人: a) 在飞行中发生紧急情况或出现要求应急撤离的情况时能够胜任; b) 熟练地使用按规定携带的各种应急和救生设备, 如救生衣、救生筏、撤离滑梯、紧急出口、便携式灭火器、氧气设备、急救箱和多用途应急医疗箱和除颤器?		☐ N/A 不适用
S10	Cabin crew-Training客舱乘务组-训练 Can these training programs ensure that each person is: c) when serving on aeroplanes operated above 3 000 m (10 000 ft), knowledgeable as regards the effect of lack of oxygen and, in the case of pressurized aeroplanes, as regards physiological phenomena accompanying a loss of pressurization; d) aware of other crew members' assignments and functions in the event of an emergency so far as is necessary for the fulfillment of the cabin crew member's own duties? 培训大纲是否确保每个人: c) 了解缺氧的影响以及增压飞机失压后所产生的生理现象等方面的知识; d) 了解在发生紧急情况时其他机组成员的分工和职责, 以便自己更好地履职?	A6P1 12.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S11	Cabin crew-Training客舱乘务组-训练 Can these training programs ensure that each person is: e) aware of the types of dangerous goods which may, and may not, be carried in a passenger cabin? 培训大纲是否确保每个人: e) 知道在客舱里允许和不允许装载的危险品种类?	A6P1 12.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S12	Cabin crew-Training客舱乘务组-训练 Can these training programs include flight crew-cabin crew coordination and communication principles (CRM/TEM)? 培训大纲是否包括飞行机组和客舱机组间的协作及沟通原则 (CRM/TEM) ?	A6P1 12.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S13	Cabin crew-Training客舱乘务组-训练 Can a procedure be used to re-qualify cabin personnel after extended absences? 是否有程序用来重新授权之前长时间离岗的客舱人员?	A6P1 12.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S14	Cabin crew-Training客舱乘务组-训练 Can the operator ensure cabin crew members from sub-contractors be appropriately trained and qualified? 运营人是否确保来自于第三方协议的客舱机组得到适当的培训及授权?	A6P1 12.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S15	Flight operations-Passengers飞行运行-乘客 Can the operator ensure that passengers are made familiar with the location and use of:	A6P1 4.2.12.1	☐ S 合格 ☐ U 不合格

	a) seat belts; b) emergency exits; c) life jackets, if the carriage of life jackets is prescribed; d) oxygen dispensing equipment, if the provision of oxygen for the use of passengers is prescribed; e) other emergency equipment provided for individual use, including passenger emergency briefing cards? 运营人是否确保使乘客熟悉下列设备的位置及其使用方法: a) 座椅安全带; b) 紧急出口; c) 救生衣 (按需); d) 供氧设备 (按需); e) 供个人使用的其他应急设备, 包括乘客应急简介卡?		☐ N/O 未观察 ☐ N/A 不适用
S16	Flight operations-Passengers 飞行运行-乘客 Can the operator inform the passengers of the location and general manner of use of the principal emergency equipment carried for collective use? 运营人是否向乘客介绍机上携带的主要应急设备的位置和使用方法?	A6P1 4.2.12.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S17	Flight operations-Passengers 飞行运行-乘客 In an emergency during flight, Can passengers be instructed in such emergency action as may be appropriate to the circumstances? 飞行中发生紧急情况时, (客舱机组) 是否指导乘客采取相应应急行动?	A6P1 4.2.12.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S18	Flight operations-Passengers 飞行运行-乘客 Can the operator ensure that, during take-off and landing and whenever considered necessary by reason of turbulence or any emergency occurring during flight, all passengers on board an aeroplane shall be secured in their seats by means of the seat belts or harnesses provided? 运营人是否确保在起飞、着陆以及由于颠簸或其他相应情况时, 所有乘客都能在各自座位上系好安全带或安全用具?	A6P1 4.2.12.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S19	Flight operations-Passengers 飞行运行-乘客 Can a policy be used for acceptance of non-ambulatory passengers, or those needing medical treatment during flight? 是否有航班中搭载不能自主移动的旅客或需要治疗的旅客的政策?	A6P1 6.2.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S20	Flight operations-Passengers 飞行运行-乘客 Can the operator ensure that, if the aircraft is equipped with a flight crew compartment door, the door is capable of being locked and is lockable only from within the compartment? Is there a procedure for entry into the cockpit during flight? 运营人是否确保驾驶舱舱门能锁住并且只能从驾驶舱锁住? 是否有飞行中进入驾驶舱的程序?	A6P1 13.2.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S21	Flight preparation 飞行准备 Can an aeroplane not be refueled when passengers are embarking, on board or disembarking (unless it is properly attended by qualified personnel ready to initiate and direct an evacuation of the aeroplane by the most practical and expeditious means available)?	A6P1 4.3.8.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

	是否在乘客登机、在机上和离机时不为飞机加油（除非已配备有合格人员，随时准备可行最实际和最迅速的方法引导乘客撤离飞机）？		
S22	Flight preparation 飞行准备 When refueling with passengers embarking, on board or disembarking, can two-way communication be maintained by the aeroplane's inter-communication system or other suitable means between the ground crew supervising the refueling and the qualified personnel on board the aeroplane? 如果在乘客登机、在机上和离机时进行加油，监督加油的地面人员和飞机上合格人员之间是否用飞机的内话系统或其他适当的方法保持双向通信？	A6P1 4.3.8.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S23	Flight preparation 飞行准备 Can the operator ensure that all baggage carried onto an aeroplane and taken into the passenger cabin is adequately and securely stowed? 运营人是否确保，所有带上飞机和带入客舱的行李均应牢固放好？	A6P1 4.8	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S24	Flight preparation 飞行准备 Can a process be used to record malfunctioning cabin equipment prior to flight? 在飞机起飞前，是否有程序用来记录损坏的客舱设备？	A6P1 6.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S25	Cabin crew- emergency duties 客舱乘务组应急职责 Can a process in place to ensure cabin crew are required to carry a safety manual which includes related safety information? 是否有程序要求客舱机组成员携带相关安全手册？	A6P1 12.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S26	Cabin crew- emergency duties 客舱乘务组应急职责 Can a process used to monitor emergency exits during boarding to ensure clearance for passenger? 是否有在登机过程中的监控应急出口的程序,以确保旅客的应急撤离完成？	A6P1 12.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S27	Cabin crew- emergency duties 客舱乘务组应急职责 Can a procedure to ensure the cabin doors are armed prior to departure and to ensure the cabin doors are disarmed after arrival? 是否有程序确认客舱门在起飞前是锁定的、在落地后是解除预位的？	A6P1 12.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
S28	Cabin crew- emergency duties 客舱乘务组应急职责 Can each cabin crew member be seated with seat belt or, when provided, safety harness fastened during take-off and landing and whenever the pilot-in-command so directs? 每名客舱乘务组成员是否在起飞、着陆过程中以及机长要求的任何时候，都坐在座椅上系好椅带或安全带？	A6P1 12.2 12.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

3.Remarks 备注



Airworthiness & Maintenance

1.Maintenance Control Of The Operator 运营人的维修控制		Ref.	Results
T01	Maintenance responsibilities 维修责任 Can the operator ensure that, in accordance with procedures acceptable to the State of Registry: a) each aeroplane they operate is maintained in an airworthy condition; b) the operational and emergency equipment necessary for an intended flight is serviceable; c) the certificate of airworthiness of each aeroplane they operate remains valid? 运营人是否按照所在国认可的程序确保: a) 其运营的每架飞机保持适航状态; b) 计划运行的飞机所需的运行和应急设备是可用的; c) 运营每架飞机的适航证均有效?	A6P1 8.1.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T02	Maintenance responsibilities 维修责任 Can the operator not operate an aeroplane unless it is maintained and released to service by an approved organization or under an equivalent system, either of which shall be acceptable to the State of Registry? 运营的飞机的维修和放行工作是否全部是由所在国接受的获批准的机构(可以等效)执行?	A6P1 8.1.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T03	Maintenance responsibilities 维修责任 Can the persons signing the maintenance release be required to be licensed? 签署维修放行单的人员是否都持有执照?	A6P1 8.1.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T04	Maintenance responsibilities 维修责任 Can the operator employ a person or group of persons to ensure that all maintenance is carried out in accordance with the maintenance control manual? 运营人是否雇用一名或一组人员, 以确保所有维修是按照维修管理手册实施?	A6P1 8.1.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T05	Maintenance responsibilities 维修责任 Can the operator ensure that the maintenance of its aeroplanes is performed in accordance with the maintenance program? 运营人是否确保其飞机的维修是按照维修方案实施的?	A6P1 8.1.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T06	Maintenance responsibilities 维修责任 Can the maintenance department of the operator be approval by the State of Registry? 运营人的维修机构是否被所在国批准?	A6P1 8.7.1.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T07	Maintenance control manual (MCM)维修管理手册 Can the operator provide a maintenance control manual acceptable to the State of Registry? 运营人是否提供所在国认可的维修管理手册?	A6P1 8.2.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察

			☐ N/A 不适用
T08	Maintenance control manual (MCM)维修管理手册 Can the operator ensure that the maintenance control manual is amended as necessary to keep the information contained therein up to date? 运营人是否确保，根据需要对MCM进行修订，并保持手册最新有效？	A6P1 8.2.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T09	Maintenance control manual (MCM)维修管理手册 Can the copies of all amendments to the operator's maintenance control manual be furnished promptly to all organizations or persons to whom the manual has been issued? MCM一旦修订，是否迅速分发到所有持有该手册的机构或人员？	A6P1 8.2.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T10	Maintenance control manual (MCM)维修管理手册 Can the operator's maintenance control manual contain the following information: (which may be issued in separate parts) a) an applicable description including: 1) a description of the administrative arrangements between the operator and the approved maintenance organization; 2) a description of the maintenance procedures and the procedures for completing and signing a maintenance release when maintenance is based on a system other than that of an approved maintenance organization? MCM(可以分为几个部分颁发) 是否包含下列信息: a) 适用的说明, 包括: 1) 运营人与批准的维修机构之间管理协议; 2) 当维修基于批准的维修机构以外时, 对维修程序及填写签署维修放行单的程序?	A6P1 11.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T11	Maintenance control manual (MCM)维修管理手册 Can the operator's maintenance control manual contain the following information: (which may be issued in separate parts) b) names and duties of the person or persons required by the State of Registry; c) a reference to the maintenance program required? MCM(可以分为几个部分颁发) 是否包含下列信息: b) 本国要求的人员姓名及职务; c) 维修方案?	A6P1 11.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T12	Maintenance control manual (MCM)维修管理手册 Can the operator's maintenance control manual which may be issued in separate parts, contain the following information: d) a description of the methods used for the completion and retention of the operator's maintenance records required by 8.4; e) a description of the procedures for monitoring, assessing and reporting maintenance and operational experience required by 8.5.1? MCM(可以分为几个部分颁发) 是否包含下列信息: d)完成和保存运营人维修记录所用的方法; e)监控、评估和报告维修与运行经历的程序说明?	A6P1 11.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

T13	Maintenance control manual (MCM)维修管理手册 Can the operator's maintenance control manual which may be issued in separate parts, contain the following information: f) a description of procedures for assessing continuing airworthiness information and implementing any resulting actions; g) a description of the procedures for implementing action resulting from mandatory continuing airworthiness information? MCM(可以分为几个部分颁发) 是否包含下列信息: f) 持续适航信息评估及实施的程序; g) 执行强制性持续适航信息的程序?	A6P1 11.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T14	Maintenance control manual (MCM)维修管理手册 Can the operator's maintenance control manual which may be issued in separate parts, contain the following information: h) a description of establishing and maintaining a system of analysis and continued monitoring of the performance and efficiency of the maintenance program, in order to correct any deficiency in that program; i) a description of aircraft types and models to which the manual applies? MCM(可以分为几个部分颁发) 是否包含下列信息: h) 程序的说明, 该程序能持续分析并监控维修方案的效果和效率, 以纠正维修方案的缺陷(一般为可靠性方案); i) 手册适用的航空器型号和构型?	A6P1 11.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T15	Maintenance control manual (MCM)维修管理手册 Can the operator's maintenance control manual which may be issued in separate parts, contain the following information: j) a description of procedures for ensuring that unserviceabilities affecting airworthiness are recorded and rectified; k) a description of the procedures for advising the State of Registry of significant in-service occurrences? MCM(可以分为几个部分颁发) 是否包含下列信息: j) 关于确保不适航的状况(缺陷)被记录并改正的程序; k) 关于确保在使用中发生重大事件时通知所在国的程序?	A6P1 11.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T16	Maintenance control manual (MCM)维修管理手册 When one of the operator's aircraft becomes unserviceable away from base, can the procedure for returning it to service be described in the MCM? 运营人的飞机如果在外站不适航, 在MCM手册中是否有恢复适航性的程序?	A6P1 11.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T17	Maintenance program (MP)维修方案 Can the operator provide, for the use and guidance of maintenance and operational personnel concerned, a maintenance program, be approved by the State of Registry? 维修和运行人员参考和使用的MP是否经所在国批准?	A6P1 8.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T18	Maintenance program (MP) 维修方案 Can copies of all amendments to the maintenance program be furnished promptly to all organizations or persons to whom the maintenance program has been issued?	A6P1 8.3.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察

	MP一旦修订，是否迅速送交所有持有该手册的机构或人员？		☐ N/A 不适用
T19	Maintenance program (MP) 维修方案 Can the maintenance program for each aeroplane contain the following information: a) maintenance tasks and the intervals at which these are to be performed, taking into account the anticipated utilization of the aeroplane; b) when applicable, a continuing structural integrity program; c) procedures for changing or deviating from a) and b) above; d) when applicable, condition monitoring and reliability program descriptions for aircraft systems, components and engines? MP 是否包含下列信息： a) 维修任务与维修间隔，需考虑到飞机的使用预期； b) 持续结构完整性方案（若适用）； c) 更改或偏离上述 a)和 b)的程序； d) 航空器系统、组件与发动机的状态监控以及可靠性方案的说明（若适用）？	A6P1 11.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T20	Maintenance program (MP) 维修方案 Can maintenance tasks and intervals that have been specified as mandatory in approval of the type design be identified? 型号设计批准规定为强制性的维修任务（必检项）与间隔是否标明？	A6P1 11.3.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T21	Maintenance program (MP) 维修方案 Can the operator follow the corrosion control/ageing aircraft program as described in the maintenance program, if applicable? 运营人是否遵照MP的要求实施防腐/老龄飞机检查项目(如适用)?	A6P1 11.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T22	Maintenance program (MP) 维修方案 Can the operator ensure that Special Inspections are following the procedures as described in the maintenance program? For example: inspections regarding hard landing. 运营人是否确保特殊检查是按照MP的要求执行，比如对重着陆的检查？	A6P1 11.3.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T23	Maintenance program (MP) 维修方案 Can the operator maintenance program been amended to reflect the approved ETOPS/EDTO program? 运营人的维修方案是否能满足批准的ETOPS/EDTO程序的要求？	A6P1 7.2.5	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T24	Reliability program 可靠性方案 Can the operator follow the reliability/maintenance development program as described in the MCM? 运营人是否执行MCM中的可靠性/维修改进计划？	A6P1 11.3.1 d)	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察

			☐ N/A 不适用
T25	Reliability program 可靠性方案 For reliability analysis, can the following data been collected: - unscheduled removals; - confirmed failures; - pilot reports; - sampling inspections; - functional checks; - shop findings; - service difficulty reports; and other sources that the air operator considers appropriate? 非计划拆装, 确认的飞机故障, 机组报告, 抽样检查, 功能测试, 内场修理发现问题, 使用困难报告和其他运营人认为适当的数据是否收集用以可靠性分析?	A6P1 11.3.1 d)	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T26	Reliability program 可靠性方案 Can the air operator follow the reliability/maintenance development program procedures for adjusting maintenance and overhaul intervals as described in the MCM/MP? 运营人是否遵照可靠性/维修改进计划中提及的步骤来修订MCM/MP中的维修和大修项目间隔?	A6P1 11.3.1 d)	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T27	Maintenance release 维修放行单 Can a maintenance release contain a certification including: a) basic details of the maintenance carried out including detailed reference of the approved data used; b) the date such maintenance was completed; c) when applicable, the identity of the approved maintenance organization; d) the identity of the persons signing the release? 维修放行单是否包括含有下述内容: a) 实施维修的细节, 包括具体的手册参考号; b) 完成维修的日期; c) 若适用, 维修部门的信息; d) 签署放行单人员的身份?	A6P1 8.8.2	☐ D and I ☐ D not I ☐ I not D ☐ Not D not I ☐ N/A
T28	Minimum Equipment Lists(MELs)/Configuration Deviation Lists(CDLs)最低设备清单/构型缺损清单 Can the MEL/CDL approved by the State of Registry? MEL/CDL是否由所在国批准?	A6P1 6.1.3	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T29	Minimum Equipment Lists(MELs)/Configuration Deviation Lists(CDLs) 最低设备清单/构型缺损清单	A6P1 6.1.3	☒ S 合格

	Can the MEL/CDL been amended to reflect the additional maintenance procedures necessary for Cat II/III/ETOPS/EDTO/RVSM operation? MEL/CDL 是否满足 Cat II/III/ETOPS/EDTO//RVSM 运行的要求?		☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T30	Technical Publications 技术手册 Can the operator follow the policy and procedures for technical publications as described in the MCM? 运营人是否遵守 MCM 中提及的技术手册的政策和程序?	A6P1 8.7.2.2	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T31	Technical Publications 技术手册 Can the operator have technical and regulatory manuals/document available for the scope of work performed, such as: Type certificates; Supplemental type certificates (STCs); Foreign Advisory Directives (ADs); Manufacturer's Maintenance, Parts and Overhaul Manuals, Service Bulletins, and Service Letters and the MPM/MCM? 按照运营人的工作需要,其是否有相应的技术和管理手册/文件? 例如:型号合格证,补充型号合格证,AD,飞机制造商维修手册, 部件和大修手册, SB,SL,MPM/MCM 等?	A6P1 8.7.2.2	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T32	Technical Publications 技术手册 Can the operator have ready access to the current status of its technical documents? 运营人是否能迅速获得最新有效的技术手册?	A6P1 8.7.2.2	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T33	Technical Publications 技术手册 Can the technical documents be tracked for: holder, revision status? 是否有技术手册的持有人和版本信息?	A6P1 8.7.2.2	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T34	Weight and Balance Control 重量和平衡 Can the operator follow the policies and procedures on Weight and Balance Control as described in the MCM/Weight and Balance Manual? 运营人是否按照《维修管理手册》/《重量与平衡手册》的政策和程序要求来实施飞机重量和平衡控制?	A6P1 7.2.5	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察

			☐ N/A 不适用
T35	Weight and Balance Control重量和平衡 Can the operator re-weigh the aircraft on a frequency as required? 运营人是否按照要求实施飞机称重工作？	A6P1 7.2.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T36	Weight and Balance Control重量和平衡 Can the Weight and Balance Report get amended upon any change to the A/C empty weight or Centre of Gravity (C of G)? 《称重报告》是否有飞机空重或重心位置的信息？	A6P1 7.2.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T37	Weight and Balance Control重量和平衡 Can amendments to Weight and Balance Reports include: dates of changes; the revised equipment list; the new empty weight and C of G; and a maintenance release? 重量与平衡变更报告是否包括以下内容：变更的日期，变更的设备清单，新的飞机空重，新的重心位置和维修放行签署？	A6P1 7.2.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T38	Personnel/Training 人员/训练 Can the maintenance department of the operator employ necessary personnel in compliance with the requirements of its responsibility? 运营人维修部门是否雇佣其职责对应的必要人员？	A6P1 8.7.6.1 8.7.6.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T39	Personnel/Training 人员/训练 Can the competence of maintenance personnel in accordance with a level acceptable to the CAA? 维修人员的胜任能力是否达到所在国民航当局要求？	A6P1 8.7.6.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T40	Personnel/Training 人员/训练 Can the operator establish and maintain a training program for its maintenance	A6P1 8.7.6.3	☐ S 合格

	personnel? 运营人是否有对其维修人员的培训方案?		☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T41	Personnel/Training 人员/训练 Can all maintenance personnel of the operator receive initial and continuation training appropriate to their assigned tasks and responsibilities?(eg.MEL, Category II / III) 运营人所有维修人员是否接受了与其分配的任务和责任相适应的初始培训和持续培训? (如 MEL, II / III 运行)	A6P1 8.7.6.4	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T42	Quality assurance system 质量保障制度 Can the operator either establish an independent quality assurance system to monitor compliance with and adequacy of the procedures, or by provide a system of inspection to ensure that all maintenance is properly performed? 运营人是否建立一个独立的质量保障体系,以监控是否遵守了维修程序以及这些程序是否充分,或者提供一个检查系统,以确保正确完成了所有维修?	A6P1 8.7.4.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T43	Quality assurance system 质量保障制度 Can the quality program include quality assurance? Can the quality assurance function independent and all necessary parts of the maintenance organization be independently audited? 质量管理体系是否包含有质量授权? 授权职能是独立的且所有的维修工作是独立授权的?	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T44	Quality assurance system 质量保障制度 Can the Quality Assurance (QA) Manager report to the Accountable Executive on quality issues? 质量经理是否将质量相关事务汇报责任经理?	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T45	Quality assurance system 质量保障制度 Can the Quality Assurance Manager have sole control over the quality assurance system? 是否只有质量经理控制 QA 系统?	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T46	Quality assurance system 质量保障制度 Can the operator ensure that QA takes precedence for personnel with responsibilities relating to both the quality system and other functional areas? 运营人是否确认 QA 人员在有多项职责时,QA 职责优先?	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T47	Quality assurance system 质量保障制度 Can personnel be responsible solely to the Quality Assurance Manager when performing their functions?	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察

	在执行 QA 职责的时候，执行人是否只对质量经理负责？		☐ N/A 不适用
T48	Quality assurance system 质量保障制度 Can quality checks be recorded? Can corrective actions be taken as finding in quality checks? 质量检查是否被记录？当质量检查有发现问题时，是否执行纠正措施？	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T49	Quality assurance system 质量保障制度 Can a feedback system be used that quality assurance staffs and other persons tracking the deficiency are aware that corrective action has been taken? 是否有反馈系统使得 QA 人员及其他人员知道纠正措施已经执行？	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T50	Quality assurance system 质量保障制度 Can sample of quality audit reports and corrective actions report be accepted? 审查报告和纠正措施报告的抽样是否被接受？	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T51	Quality assurance system 质量保障制度 Can the QA system operation in compliance with its regulations and standards? QA 系统运行是否与其规章及标准一致？	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T52	Quality assurance system 质量保障制度 Can the QA program of surveillance or internal inspection provide a check of the QA System's own effectiveness? 是否有 QA 系统自身的质量检查？	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T53	Maintenance planning and control 维修计划和控制 Can the operator have a system in place for determining that performance of maintenance follows the Maintenance Program without deviation? 运营人是否有系统来监控维修工作是按照维修方案的要求实施？	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T54	Maintenance planning and control 维修计划和控制 Can the operator have a system in place for determining that performance of maintenance follows current OEM and regulatory authority issued documents? 运营人是否有系统来监控维修工作是按照适用的厂家手册和相关机构的文件执行？	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T55	Maintenance planning and control 维修计划和控制 Can the operator establish procedures used to transmit maintenance information to aircraft operating away from the base? 运营人是否有程序来传递维修信息至外站飞机？	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察

			☐ N/A 不适用
T56	Maintenance planning and control 维修计划和控制 Can the operator have oil monitoring procedure? Can oil records be retained by the operator for a period of three months? 运营人是否有滑油监控程序？运营人是否将滑油记录保存三个月？	A6P1 4.2.10.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T57	Maintenance contract 外委维修 Can the operator have procedure for the surveillance of contracted maintenance? 运营人是否有外委维修的监控程序？	A6P1 11.2 a)	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T58	Maintenance contract 外委维修 Can the maintenance contractor have the facilities and capability and necessary approvals to perform the contracted maintenance? 外委机构是否有满足外委维修的设施、能力及必要的批准？	A6P1 11.2 a)	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T59	Maintenance contract 外委维修 Can the contract specify the work in accordance with the operator's maintenance program? 维修协议规定的工作是否与运营人维修方案一致？	A6P1 11.2 a)	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T60	Maintenance contract 外委维修 Can the QA audit program be used to sub-contractors? 是否有关于外委维修机构的质量审核方案？	A6P1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T61	Continuing airworthiness information 持续适航信息 Can the operator monitor and assess maintenance and operational experience with respect to continuing airworthiness as described in MCM? 运营人是否按照 MCM 要求监控和评估与持续适航相关的维修和运行经历？	A6P1 8.5.1	☐ S 合格 ☐ U 不合格

			☐ N/O 未观察 ☐ N/A 不适用
T62	Continuing airworthiness information持续适航信息 Can accomplishments recorded and followed up for AD/ASB be described in the Quality Manual/MCM? AD/ASB 的完成记录/跟踪是否和质量手册/MCM 的描述一致？	A6P1 8.4.1 b)	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T63	Maintenance records 维修记录 Can the operator ensure that the following records are kept: the total time in service (hours, calendar time and cycles, as appropriate) of the aeroplane and all life-limited components? 运营人是否保存下列记录：飞机和所有时寿件的总使用时间（按适用情况，记录其小时数、日历时间和循环次数）？	A6P1 8.4.1	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T64	Maintenance records 维修记录 Can the operator ensure that appropriate details of modifications and repairs records are kept and comply with airworthiness requirements acceptable to the State of Registry? 运营人是否保存改装和修理的详细信息,并且所有改装与修理是否符合所在国认可的适航要求？	A6P1 8.4.1 8.6	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T65	Maintenance records 维修记录 Can the operator ensure that the following records are kept: the time in service (hours, calendar time and cycles, as appropriate) since the last overhaul of the aeroplane or its components subject to a mandatory overhaul life? 运营人是否保存下列记录：飞机或规定了强制性翻修寿命的部件自上次翻修之后的使用时间（按适用情况，小时数、日历时间和循环次数）？	A6P1 8.4.1	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T66	Maintenance records 维修记录 Can the operator ensure that the following records are kept: the current status of the aeroplane's compliance with the maintenance program? 运营人是否保存下列记录：飞机遵守维修方案的现状？	A6P1 8.4.1	☒ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

T67	Maintenance records 维修记录 Can the operator ensure that the following records are kept: the detailed maintenance records to show that all requirements for the signing of a maintenance release have been met? And the records for a minimum period of one year after the signing of the maintenance release? 运营人是否保存下列记录：详细的维修记录，表明达到了签署维修放行单的全部要求？并至少保存一年	A6P1 8.4.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T68	Maintenance records 维修记录 Can the air operator ensure that completed inspection packages are reviewed and retained by the records section as described in the MCM/Quality Manual? 运营人是否确保完成的维修工作包按照 MCM/质量手册要求实施评估和保存？	A6P1 8.4.1 8.7.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T69	Defect Control 保留故障控制 Can the operator follow the release procedures in accordance with MEL/CDL? 运营人是否遵照 MEL/CDL 的要求放行？	A6P1 6.1.3 8.8.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T70	Defect Control 保留故障控制 Can the operator have procedures for the recording and rectification of aircraft deferred defects? 运营人是否有保留故障的记录和排除程序？	A6P1 6.1.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T71	Defect Control 保留故障控制 Can the operator ensure that defects inspected by maintenance personnel prior to deferral and deferred by authorized personnel only? 运营人是否确保故障办保留前已被维修人员检查过并由授权人员办保留？	A6P1 6.1.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T72	Defect Control 保留故障控制 Can deferred defects time limit as described in the approved MEL? 对保留故障的限制时间是否与批准的 MEL 一致？	A6P1 6.1.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察

			☐ N/A 不适用
T73	Defect Control 保留故障控制 Can the operator rectify deferred defects in time? 运营人是否及时排除保留故障？	A6P1 6.1.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T74	Category II / III II / III 运行 Can the operator maintain a current list of personnel authorized to certify the operator aircraft to Category II / III? 运营人是否有 II / III 运行的维修人员的授权名单？	A6P1 ATTACH- MENTE. 2.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T75	Category II / III II / III 运行 Can the operator follow the Supplemental Inspection Documents (SIDs), and Category II / III inspection requirements, as applicable? 运营人是否遵守 SID 和 II / III 类检查的要求实施检查（如适用）？	A6P1 ATTACH- MENTE. 2.4	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T76	Facilities 设施 Can the operator have facilities and working environment appropriate for the maintenance work to be performed? 运营人的厂房设施与工作环境是否适合于所执行的维修工作？	A6P1 8.7.5.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T77	Facilities 设施 Can the operator have the necessary technical data, equipment, tools and material to perform the maintenance work for which it is approved? 运营人是否具有必要的技术数据、设备、工具和材料，以完成批准的维修工作？	A6P1 8.7.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T78	Facilities 设施 Can the operator have the storage facilities provided for parts, equipment, tools and material? 运营人是否有部件、设备、工具和材料的存放设施？	A6P1 8.7.5.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T79	Facilities 设施 Can storage conditions be with security and without corrosion and damage? 存放条件是否安全并且不会受到腐蚀和损伤？	A6P1 8.7.5.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

T80	Tools 工具 Can tools used for aircraft maintenance be properly stored, maintained, listed? 维修工具是否妥善存放、维护、列入清单？	A6P1 8.7.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T81	Tools 工具 Can the operator establish procedures for the management of calibrated tools? 运营人是否建立了计量工具的管理程序？	A6P1 8.7.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T82	Tools 工具 Can useable calibrated tools be within the calibration period? 可用的计量工具是否在计量周期内？	A6P1 8.7.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T83	Tools 工具 Can the operator establish procedures for using self-manufactured tools? 运营人是否有使用自制工具的程序？	A6P1 8.7.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T84	Aircraft parts and material 飞机航材 Can the operator have documented purchasing procedures to ensure the follow: Aircraft parts and material are only obtained from approved sources? Can certification documentation and Traceability processes be used? 运营人是否有书面的采购程序确认购买的飞机航材是有认证及可追溯的？	A6P1 8.7.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T85	Aircraft parts and material 飞机航材 Can the operator establish and maintain quality inspection procedure for its aircraft parts and material? 运营人是否有对其航材的质量检验制度？	A6P1 8.7.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T86	Aircraft parts and material 飞机航材 Can aircraft parts and material of the operator be stored at suitable temperature and humidity? 运营人的飞机航材是否在适当的温度和湿度下储存？	A6P1 8.7.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

T87	Aircraft parts and material 飞机航材 Can the operator ensure that useable aircraft parts and material are stored away from unusable ones? 运营人是否确保可用的航材和不可用的航材是分开保存的？	A6P1 8.7.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T88	Aircraft parts and material 飞机航材 Can the operator establish and maintain procedures to receive, store and discard hazardous material? 运营人是否有程序来接收、储存和废弃危险品？	A6P1 8.7.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T89	Aircraft parts and material 飞机航材 Can the operator establish and maintain procedures to receive and store air craft parts and material, such as ESDS? 运营人是否有程序来接收和储存性质为静电敏感元件的飞机航材？	A6P1 8.7.5.2	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T90	De-Icing/Anti-icing 除、防冰 Can the operator ensure that, the organize performing the de-icing/anti-icing operations for the operator be in accordance with approved procedures? 运营人是否确保为其执行除/防冰工作的机构是按照批准的程序执行（相关工作）的？	A6P1 4.3.5.6	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

2.Airborne System and Equipment 机载系统及设备		Ref.	Results
T91	Flight recorders general 飞行记录器一般原则 Can operational checks and evaluations of recordings from the flight recorder systems be conducted to ensure the continued serviceability of the recorders? 对于飞行记录器系统的记录，是否进行运行检查与评估，以确保记录器的持续可用性？	A6P1 6.3.4.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T92	Flight recorders general 飞行记录器一般原则 Can the operator have the means to provide readout of the FDR ASAP? 运营人是否有尽快解读FDR数据的途径？	A6P1 6.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察

			☐ N/A 不适用
T93	Ground proximity warning systems近地警告系统 Can all turbine-engined aeroplanes be equipped with a ground proximity warning system which has a forward looking terrain avoidance function? 是否所有涡轮发动机飞机都装备具有前视地形避让功能的近地警告系统？	A6P1 6.15.2 6.15.3	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T94	ACAS II 机载防撞系统 Can all turbine-engined aeroplanes be equipped with Traffic Alert and Collision Avoidance System (ACAS/TCASII7.1)? 所有涡轮发动机飞机是否装备机载防撞系统（ACAS/TCASII7.1）？	A6P1 6.18.1	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用
T95	Records of emergency and survival equipment carried 携带的应急和救生设备记录 Can operators list information on the emergency and survival equipment carried on board any of their aeroplanes engaged in international air navigation? (The information shall include, as applicable, the number, color and type of life rafts, life jackets and pyrotechnics, details of emergency medical supplies, electric illumination for the purpose of facilitating the location of persons, water supplies and the type and frequencies of the emergency portable radio equipment.) 运营人是否将飞机上携带的应急和救生设备列出清单？（如适用，清单内必须包括救生筏、救生衣和信号弹的数量、颜色和型号、应急医药用品、便于人员定位的电力照明装置、水的储备量以及便携式应急无线电设备的型号和频率等的详细信息。）	A6P1 6.5.2.1 6.5.3.1 6.5.3.2 11.5	☐ S 合格 ☐ U 不合格 ☐ N/O 未观察 ☐ N/A 不适用

3.Remarks 备注

附件B：外国运营人主运行基地检查报告

运营人名称	
运营人主运行基地所在地	
检查原因	
检查组组长	
检查组成员	
检查日期	
运营人简介及总体评估	
检查发现问题总结	
其它说明	

检查组签名：

日期： 年 月 日