安全监督审计科

国际民航组织亚太地区安全监督审计研讨会

中国 北京 2006年12月12日至15日

审计工具的填写与提交 – 在线应用

模块7

课程目的

课程结束时，与会者将熟悉审计科(SOA)所开发的、保密的网上应用系统的特征和功能，这一系统可用于完成审计所用工具及向各国分发安全监督审计报告。

内容

- 课程目标
- 要求
- 网站能力
- 网站优点
- 完成国家航空活动调查表
- 完成符合性检查单
- 查询安全监督审计报告及相关分析
- 登陆网站
- 演示

要求 – A35-6

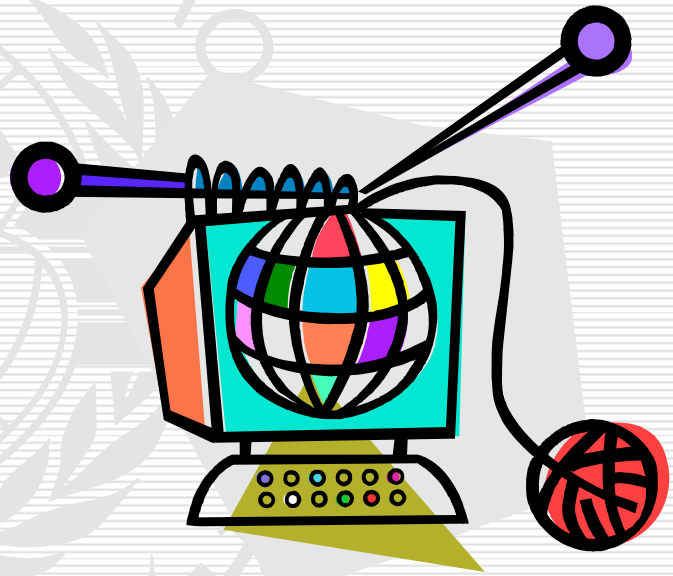
向各国提供一种
完成和/或更新
“国家航空活动
调查表”(SAAQ)
和 “符合性检查单”
(CCs) 的途径

使各国可以
获得最终安全
监督审计报告

可以从“审计结果和
差异数据库”(AFDD)
中获得相关信息

解决

为达到这些要求,
安全监督审计科
开发了一个保密的
网站,可供缔约国和
国际民航组织
秘书处使用



网站能力

在线完成/更新
“国家航空活动
调查表”(SAAQ)

在线完成/更新
“符合性检查单”(CCs)

生成国家报告

查询安全监督
审计报告
和相关分析

网站优点

便于向国际民航组织提交审计文件

缔约国可进行信息的实时输入（24/7）

更快、更高效地与国际民航组织交流的方法

提升透明度并有助于交流安全相关信息

ICAO Universal Safety Oversight Audit Programme

Logon Page

Pursuant to Assembly Resolution A35-6, this website has been developed to provide all Contracting States the ability to access safety critical information collected from Contracting States that completed the State Aviation Activity Questionnaire (SAAQ) and compliance checklists in preparation for the USOAP audit and from the safety oversight audits performed to date. This secure site contains final safety oversight audit reports including the audit findings, recommendations, State's action plan and comments, as well as the comments of the Safety Oversight Audit Section on a State's action plan in addition all relevant information derived from the Audit Findings and Differences Database (AFDD).

User ID:

Password:

Logon

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审计科保密网站– 登陆页面

Done

Internet

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State Options

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Log Out

Manage State Aviation Activity Questionnaire

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审计科保密网站 – 主页面

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选择你想要使用的应用工具
国家航空活动调查表

ICAO Universal Safety Oversight Audit Programme

State Aviation Activity Questionnaire 2005

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Report | Home Page | Log Out

Section Contents for Aviation Questionnaire

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Reference	Questions	Comments	Yes	No	
GEN 1.1	PART 1. - GENERAL ADMINISTRATIVE INFORMATION Provide the name, position and address of the person designated as the State coordinator with respect to the ICAO Universal Safety Oversight Audit Programme (USOAP). Specify: Name: Position: Contact address: Tel: Fax: E-mail :	Ing. J. Jesús Moreno Bautista Director General Adjunto de Seguridad Aérea Calle Providencia No. 807, 4° Piso, Colonia del Valle, Delegación Benito Juárez, C.P. 03100 México D.F. Tel (55) 56-82-94-00 Fax (55) 55-23-47-51 jmoreno@sct.gob.mx	☐	☐	
GEN 1.2	What is the normal workweek, e.g. Mon to Fri; Sat to Wed?	De Lunes a Viernes	☐	☐	
GEN 1.3	What are the normal working hours, e.g. 0900 to 1700; 0730 to 1430?	De 09:00 a 21:00 hrs.	☐	☐	
GEN 1.4	What statutory holidays should be considered as not suitable for planning an audit?	01-Enero (Año Nuevo) 05 Febrero (Aniv. Constitución) 21 Marzo (Natalicio Juárez) 13 y 14 Abril (Semana Santa) 01 Mayo (Día del Trabajo) 05 Mayo (Batalla de Puebla) 16 Septiembre (Aniv. Indepen.) 01 y 02 Noviembre (Difuntos) 20 Noviembre (Aniv. Revoluci.) 25 Diciembre (Navidad)	☐	☐	
GEN 1.5	Is there an annual vacation period when many of the essential staff may not be available and, therefore, that should be avoided when scheduling the audit?	16 Diciembre - 02 Enero	☑	☐	
GEN 1.6	What documentation will be required from and made available to	Identificación oficial con foto, para expedición de gafetes			

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国家航空活动调查表（SAAQ) 样例

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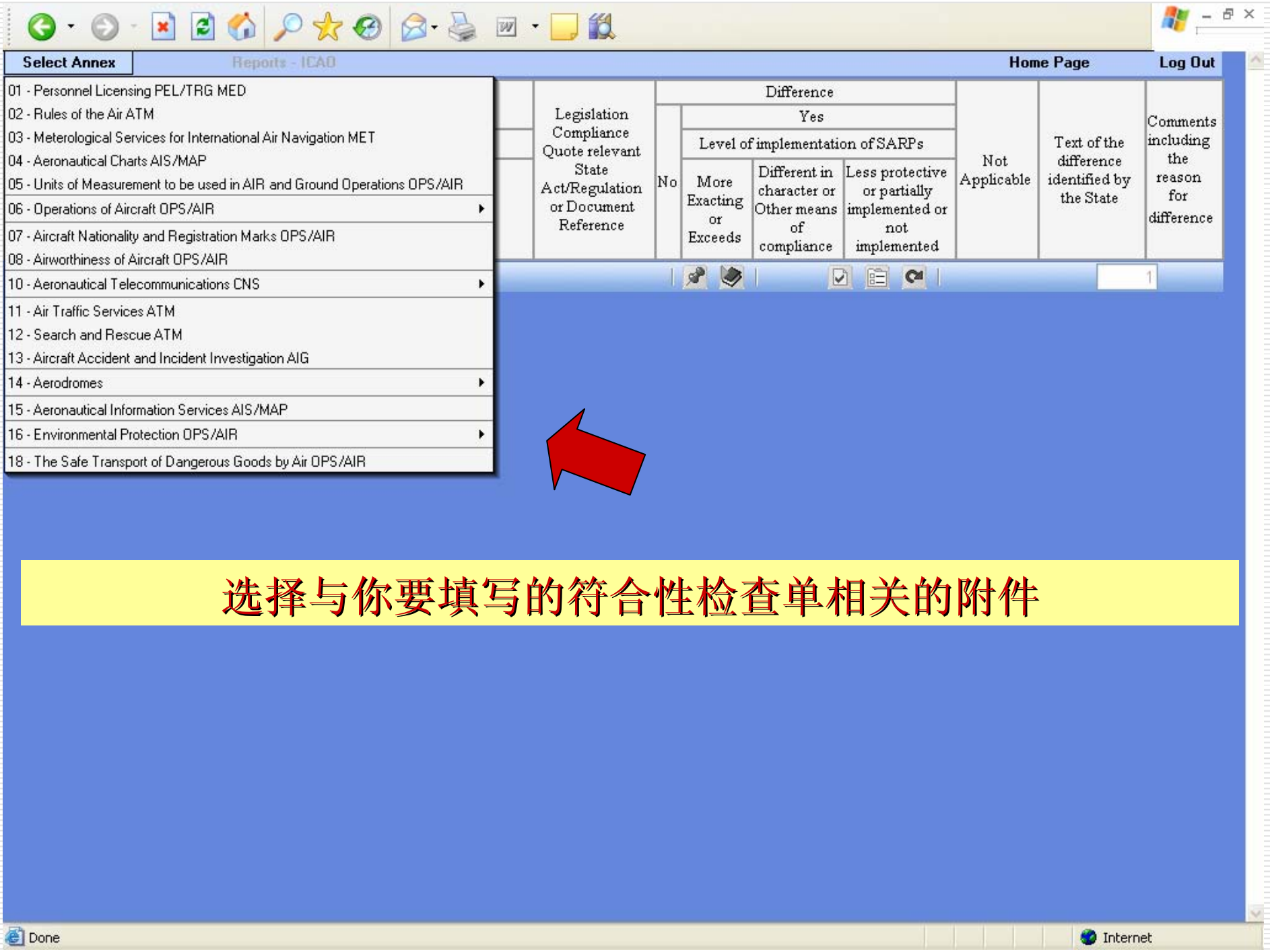
选择你想要使用的应用工具
符合性检查单

Done

Internet



选择与你要填写的符合性检查单相关的附件

Select Annex | Reports - ICAO | Home Page | Log Out

Annex Reference & SARP Identifier	Annex 3 METEOROLOGICAL SERVICE FOR INTERNATIONAL AIR NAVIGATION FOURTEENTH EDITION - JULY 2004 Amendment 73 Annex Standard or Recommended Practice	Legislation Compliance Quote relevant State Act/Regulation or Document Reference	Difference				Not Applicable	Text of the difference identified by the State	Comments including the reason for difference
			No	Yes Level of implementation of SARPs More Exacting or Exceeds	Different in character or Other means of compliance	Less protective or partially implemented or not implemented			

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Chapter 1 Reference# 1.1 ID Number 03-0000001000 Standard	PART I CORE SARPs CHAPTER 1. DEFINITIONS Definitions When the following terms are used in the Standards and Recommended Practices for Meteorological Service for International Air Navigation, they have the following meanings: Aerodrome. A defined area on land or water (including any buildings, installations and equipment) intended to be used either wholly or in part for the arrival, departure and surface movement of aircraft.		☐	☐	☐	☐	☐		

符合性检查单样例

Done | Internet

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选择你想要选择的应用工具
安全监督审计结果

Done

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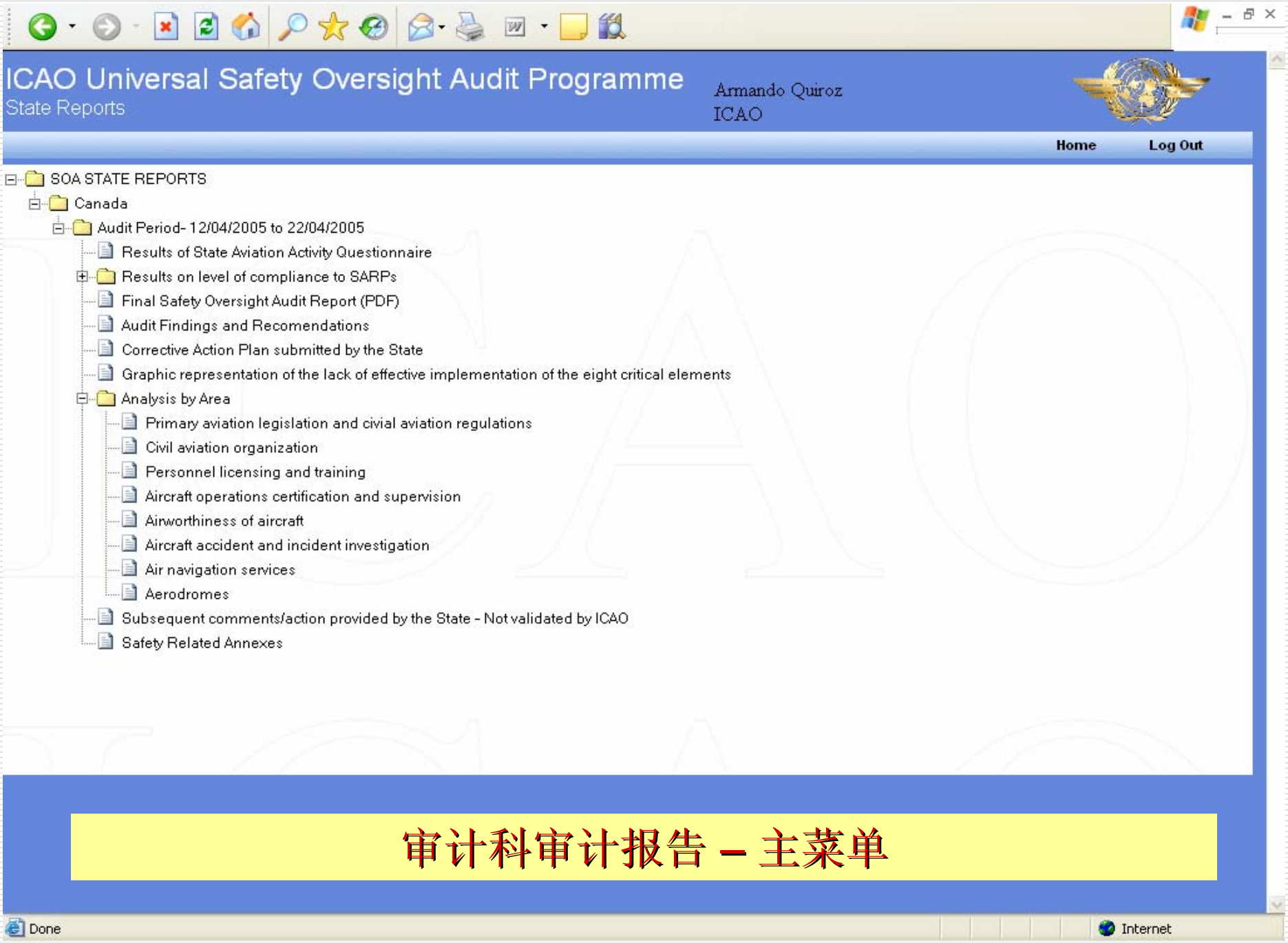
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Results on level of compliance to SARPs
Final Safety Oversight Audit Report (PDF)
Audit Findings and Recomendations
Corrective Action Plan submitted by the State
Graphic representation of the lack of effective implementation of the eight critical elements
Analysis by Area
Primary aviation legislation and civial aviation regulations
Civil aviation organization
Personnel licensing and training
Aircraft operations certification and supervision
Airworthiness of aircraft
Aircraft accident and incident investigation
Air navigation services
Aerodromes
Subsequent comments/action provided by the State - Not validated by ICAO
Safety Related Annexes
Done
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审计科审计报告 – 主菜单

http://www.icaosoa.ca/soareports/reports/CAND/ICAO-USOAP_Canada_Final_Audit_Report.pdf - Microsoft Internet Explorer provided b
File Edit Go To Favorites Help
Back Search Favorites
Address http://www.icaosoa.ca/soareports/reports/CAND/ICAO-USOAP_Canada_Final_Audit_Report.pdf Go
Links Customize Links Free Hotmail Windows Windows Marketplace Windows Media Best of the Web ICAO Outlook Web Access Microsoft RealPlayer
Save a Copy Search Select 118% Get better feedback and faster approvals
Bookmarks Pages Attachments Comments

ICAO Universal Safety Oversight Audit Programme

FINAL REPORT
ON THE SAFETY OVERSIGHT AUDIT
OF THE
CIVIL AVIATION SYSTEM
OF
STATE

阅读审计报告全文

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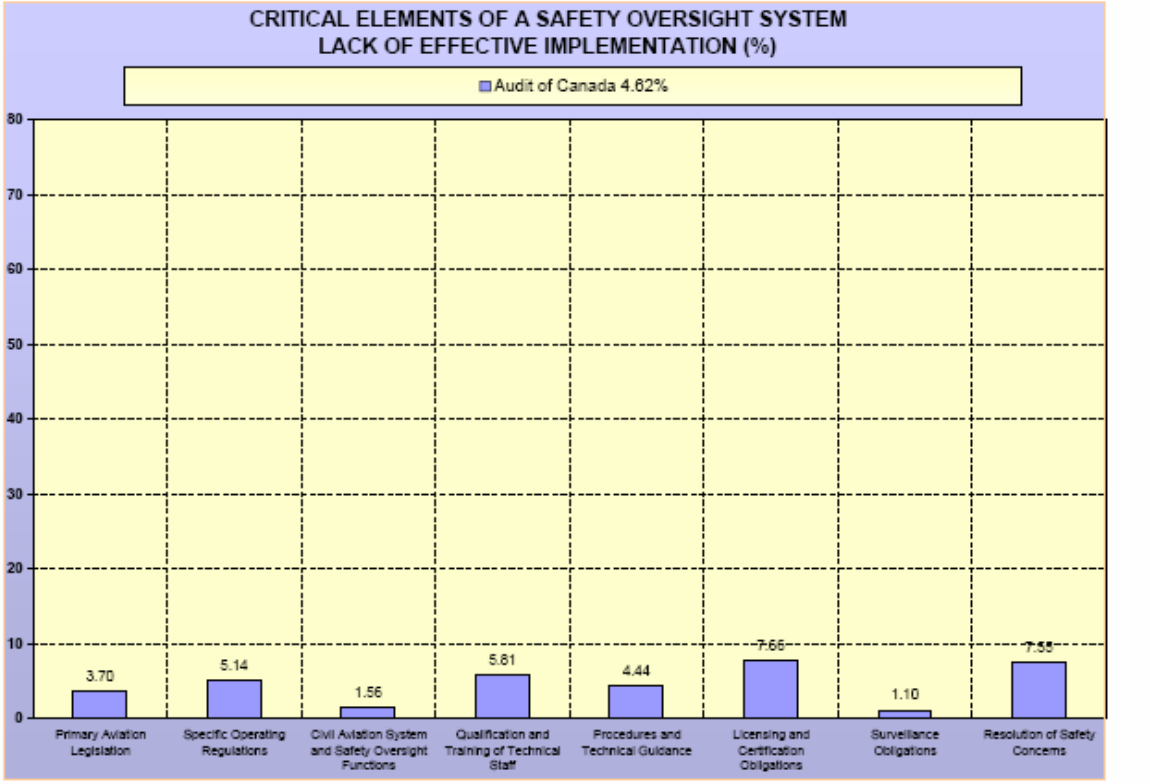
CRITICAL ELEMENTS OF A SAFETY OVERSIGHT SYSTEM
LACK OF EFFECTIVE IMPLEMENTATION (%)
Audit of Canada 4.62%
80
70
60
50
40
30
20
10
0
3.70
5.14
1.56
5.61
4.44
7.66
1.10
7.55
Primary Aviation Legislation
Specific Operating Regulations
Civil Aviation System and Safety Oversight Functions
Qualification and Training of Technical Staff
Procedures and Technical Guidance
Licensing and Certification Obligations
Surveillance Obligations
Resolution of Safety Concerns

关键要素的执行情况

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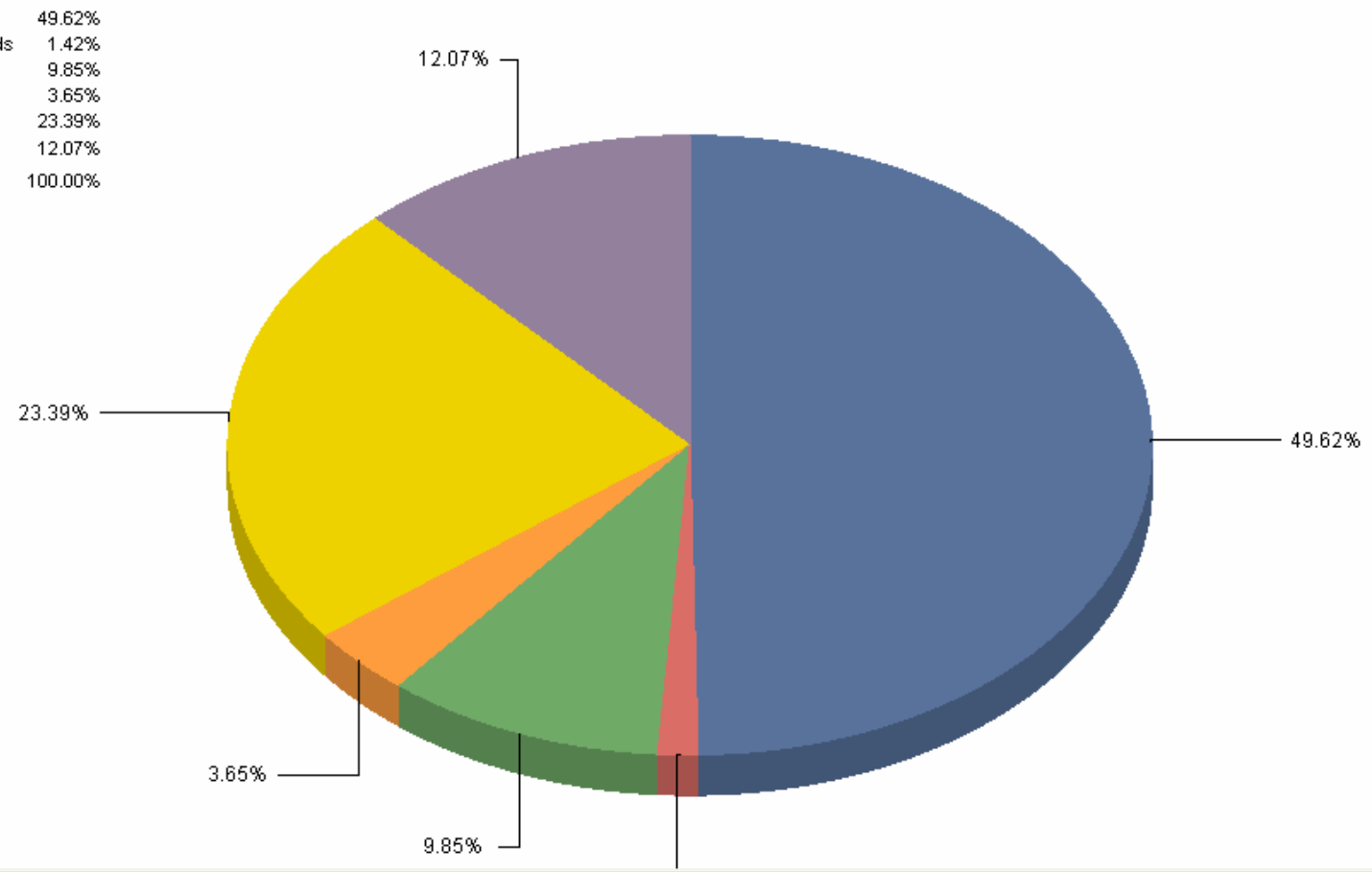
Annex 2 RULES OF THE AIR
Annex 3 METEOROLOGICAL SERVICE FOR INTERNATIONAL AIR NAVIGATION
Annex 4 AERONAUTICAL CHARTS
Annex 5 UNITS OF MEASUREMENT TO BE USED IN AIR AND GROUND OPERATIONS
Annex 6 OPERATION OF AIRCRAFT
Annex 7 AIRCRAFT NATIONALITY AND REGISTRATION MARKS
Annex 8 AIRWORTHINESS OF AIRCRAFT
Annex 10 AERONAUTICAL TELECOMMUNICATIONS
Annex 11 AIR TRAFFIC SERVICES
Annex 12 SEARCH AND RESCUE
Annex 13 AIRCRAFT ACCIDENT AND INCIDENT INVESTIGATION
Annex 14 AERODROMES
Annex 15 AERONAUTICAL INFORMATION SERVICES
Annex 16 ENVIRONMENTAL PROTECTION
Annex 18 THE SAFE TRANSPORT OF DANGEROUS GOODS BY AIR
Final Safety Oversight Audit Report (PDF)
Audit Findings and Recomendations
Corrective Action Plan submitted by the State
Graphic representation of the lack of effective implementation of the eight critical elements
Done
Internet

附件符合性水平情况

符合情况 – 安全相关附件

Canada — **Safety Related Annexes**

Fully Implemented	More Exacting or Exceeds	Different in Character or Other Means of Compliance	Less Protective or Partially Implemented or Not Implemented	Not Applicable	Not Complete
4,862	139	963	357	2,290	1,180



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选择“管理使用者选项”
改变密码

Done

Internet

Change Your Password

New Password:

Confirm New Password:

OK Cancel

Discussions Discussions not available on http://www.icaosoa.ca/

登陆网站

www.icao.int/soa
+ 用户名和密码

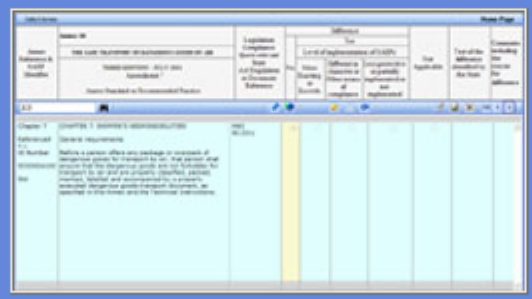
- 用户名和密码已经提供给所有民航局局长（DGCA)和国家安全监督协调员。
- 国家拥有在内部对用户名和密码的分配权限。
- 国家可以通过用户名和密码在线完成/更新审计工具并生成报告。
- 缔约国可以通过他们的国家安全监督协调员（NSOC)申请额外的用户名和密码，额外用户具有“更改”或“只读”的不同权限。

一旦有问题发生：

Log Out

Thailand Report is now available in the "Safety Oversight Audit Results" below.

nage State Aviation Activity Questionnaire



Manage Compliance Checklist

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查找网上帮助文件：

Discussions

Discussions not available on http://www.icaosoa.ca/

ICAO SOA Secure Website

http://www.icao.int/soa

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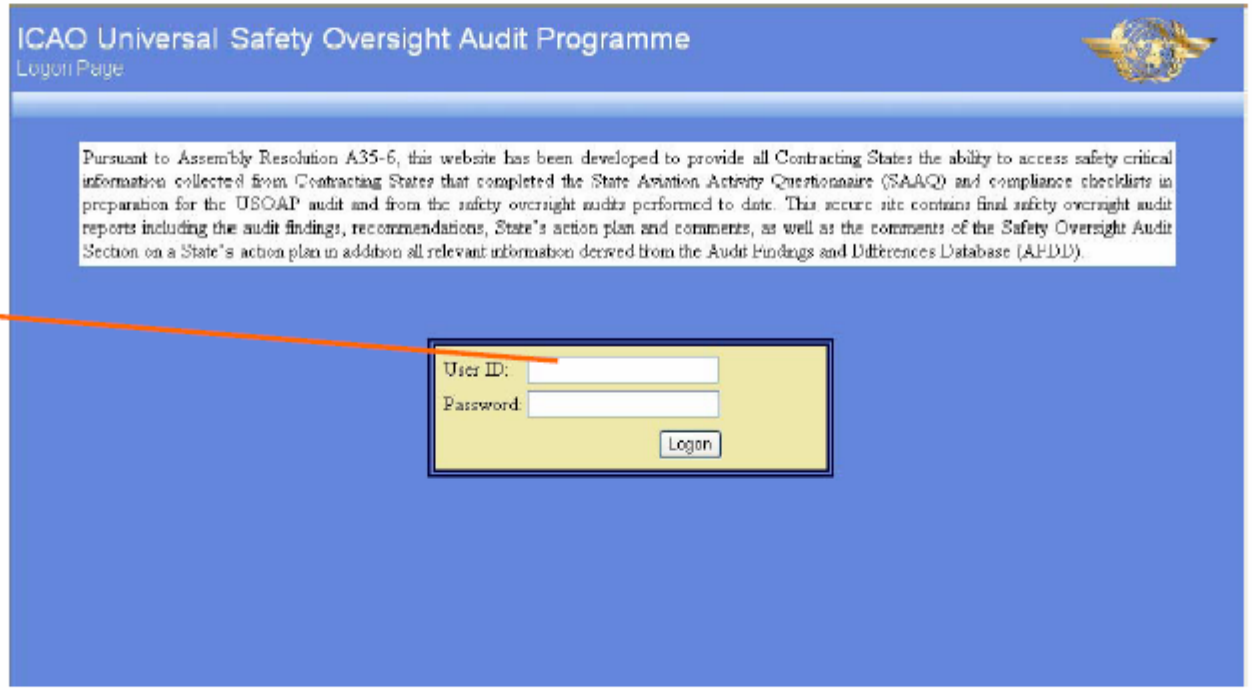

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安全监督审计科

谢谢!